

# Supplementary material for “Bidirectional polymorphism through greed and unions”

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## Appendix: Supplementary Material

This appendix contains definitions, proofs, and example derivations that didn't fit in the paper. In line-by-line proofs, I put a Z next to the final result.

**Lemma 9.** *If  $\Omega$  completes  $\Gamma$  then  $\text{dom}([\Omega]\Gamma) \subseteq \text{dom}(\Gamma)$ .*

*Proof.* By induction on  $\Omega$ . Since  $\Omega$  completes  $\Gamma$ , the contexts are the same modulo hints and existential variables that are declared in both but only solved in  $\Omega$ . In the case when  $\Omega = \Omega'$ ,  $\hat{\alpha} = A$  and  $\Gamma = \Gamma'$ ,  $\hat{\alpha}$ : from the definition,  $[\Omega]\Gamma = [\Omega']([A/\hat{\alpha}]\Gamma')$ . By IH,  $\text{dom}([\Omega']([A/\hat{\alpha}]\Gamma')) \subseteq \text{dom}([A/\hat{\alpha}]\Gamma')$ , and substituting for  $\hat{\alpha}$  in  $\Gamma'$  does not change its domain at all.  $\square$

**Lemma 11.** *Given a context  $\Omega$  that completes  $\Gamma$ , if  $[\Omega]\Gamma \vdash [\Omega]A$  wf then  $\Gamma \vdash A$  wf.*

*Proof.* To show  $\Gamma \vdash A$  wf, we show  $FV(A) \subseteq \text{dom}(\Gamma)$ .

For all  $\hat{\alpha}$  in  $FV(A)$ : Suppose  $\hat{\alpha} \notin \text{dom}(\Gamma)$ . By definition of completion,  $\text{dom}(\Gamma) = \text{dom}(\Omega)$  so  $\hat{\alpha} \notin \text{dom}(\Omega)$ . Thus, applying  $\Omega$  to  $A$  cannot substitute for  $\hat{\alpha}$ , and  $\hat{\alpha} \in FV([\Omega]A)$ . By definition of well-formedness,  $FV([\Omega]A) \subseteq \text{dom}([\Omega]\Gamma)$ , which by Lemma 9 is  $\subseteq \text{dom}(\Gamma)$ . Therefore  $\hat{\alpha} \in \text{dom}(\Gamma)$ , a contradiction.  $\square$

**Lemma 12 (Well-Formedness).** *If  $\mathcal{D} :: \Gamma \vdash \dots \dashv \Gamma'$  then for any solved  $\hat{\alpha} \in \text{dom}(\Gamma)$ , it is the case that  $\Gamma = \Gamma_1, \hat{\alpha} = A, \Gamma_2$  and  $\Gamma_1 \vdash A$  wf, and likewise for any solved  $\hat{\alpha} \in \text{dom}(\Gamma')$ .*

*Proof.* By induction on  $\mathcal{D}$ . In the 6 rules that introduce existential solutions, the well-formedness of the solution is either explicit ( $\hat{\alpha} = L \leq$ ,  $\hat{\alpha} = R \leq$ ) or is evident from the context ( $\rightarrow I \hat{\alpha}$ ,  $\rightarrow E \hat{\alpha}$ ,  $\rightarrow \hat{\alpha} L \leq$ ,  $\rightarrow \hat{\alpha} R \leq$ ).  $\square$

**Definition 14 (Ordering of subtyping judgments).** Given  $\mathcal{J}_1 = \Gamma_1 \vdash A_1 \leq B_1 \dashv \dots$  and  $\mathcal{J}_2 = \Gamma_2 \vdash A_2 \leq B_2 \dashv \dots$ , the order  $\prec$  is defined lexicographically by

- (1) the numbers of hints in  $\Gamma_1$  and in  $\Gamma_2$ , under  $\prec$ ;
- (2) if  $B_1 = B_2$  and  $\Gamma_1 = \Gamma_2$ , the angst of  $A_1$  versus  $A_2$ ; or, if  $A_1 = A_2$  and  $\Gamma_1 = \Gamma_2$ , the angst of  $B_1$  versus  $B_2$ ;
- (3)  $\{A_1, B_1\} \prec \{A_2, B_2\}$ ;
- (4)  $A_1 = A_2$  and  $B_1 = B_2$  where all existential variables in  $A_1 (= A_2)$  are solved in  $\Gamma_1$  but not in  $\Gamma_2$ ; or, the same, swapping  $B_1$  and  $B_2$  for  $A_1$  and  $A_2$ .

**Definition 15** (Ordering of typing judgments). Given  $\mathcal{J}_1 = \Gamma_1 \vdash e_1 \uparrow/\Downarrow C_1 \dashv \Gamma'_1$  and  $\mathcal{J}_2 = \Gamma_2 \vdash e_2 \uparrow/\Downarrow C_2 \dashv \Gamma'_2$ , we define  $\mathcal{J}_1 \preceq \mathcal{J}_2$  by the lexicographic ordering of:

- (1)  $e_1$  and  $e_2$  (subterm ordering);
  - (2) the directions, considering  $\uparrow$  smaller than  $\Downarrow$ ;
- (3a) If both are checking judgments:
- (i)  $C_1 \preceq C_2$ ;
  - (ii)  $\Gamma_1 = \Gamma_2$  and  $C_1$  has less angst than  $C_2$ ; or
  - (iii) all existential variables in  $C_1 (= C_2)$  are solved in  $\Gamma_1$  but not in  $\Gamma_2$
- (3b) If both are synthesis judgments:
- (i) the number of hints in  $\Gamma'_1$  versus  $\Gamma'_2$ ; if equal,
  - (ii)  $C_2 \preceq C_1$ ;
  - (iii)  $C_2$  has less angst with respect to  $\Gamma'_2$  than  $C_1$  with respect to  $\Gamma'_1$ .

**Theorem 16** (Decidability of Subtyping and Contextual Matching). *Given  $\Gamma$ ,  $A$ , and  $B$ , the existence of  $\Gamma'$  such that  $\Gamma \vdash A \leq B \dashv \Gamma'$  in System  $Bi^{\hat{\alpha}}$  is decidable.*

*Moreover, given  $\Gamma_0$ ,  $A_0$  and  $\Gamma$ , the existence of  $A$  such that  $(\Gamma \vdash A_0) \lesssim (\Gamma \vdash A)$  is decidable.*

*Proof.* We show that the premises of each rule are smaller, under the defined partial order, than the conclusion. We also note that in each rule, we have enough information to apply the induction hypothesis for each premise.

$\forall L$ -hint $\leq$ 's premise is smaller by part (1) of Definition 14.

In  $\text{ExSubstL}\leq$  and  $\text{ExSubstR}\leq$ , use part (2).

In  $\forall L\hat{\alpha}\leq$  (converting  $\hat{\alpha}$  to  $\alpha$ ),  $\rightarrow\leq$  and  $\forall R\leq$ , use part (3).

In  $\rightarrow\hat{\alpha}L\leq$  and  $\rightarrow\hat{\alpha}R\leq$ , use part (4).

The rules  $\mathbf{1}\leq$ ,  $\alpha\text{Refl}\leq$ ,  $\hat{\alpha}\text{Refl}\leq$ ,  $\hat{\alpha}=L\leq$ ,  $\hat{\alpha}=R\leq$  have no interesting premises.

For contextual matching, the rule  $\text{empty-}\sigma$  has no premises, while the length of  $\Gamma_0$  is reduced by every other rule in Figure 6.  $\square$

**Theorem 17** (Decidability of Typing).

- (i) *Given  $\Gamma$ ,  $e$ , and  $C$ , it is decidable whether there exists  $\Gamma'$  such that  $\Gamma \vdash e \Downarrow C \dashv \Gamma'$ .*
- (ii) *Given  $\Gamma$  and  $e$  it is decidable whether there exist  $\Gamma'$  and  $C$  such that  $\Gamma \vdash e \uparrow C \dashv \Gamma'$ .*

*Proof.* We show that the premises of each rule are smaller, under the defined partial order, than the conclusion. We also note that in each rule, we have enough information to apply the induction hypothesis for each premise. For example, in  $\rightarrow E$ , we have  $e = e_1 e_2$ , giving us an  $e_1$  for  $\rightarrow E$ 's synthesizing premise; applying the i.h. there gives a type for the second, checking, premise.

$\text{var}$  and  $\mathbf{1I}$  have no premises.

By part (1), the premises of  $\text{anno}$ ,  $\rightarrow I$ ,  $\rightarrow E$ ,  $\text{hint}$ ,  $\rightarrow E\hat{\alpha}$  have a smaller term than the conclusion.

$\text{sub}$ 's first premise is smaller by part (2); the second premise is decidable by Theorem 16.

$\forall E$ -hint's premise is smaller by part (3b)(i). Contextual matching is decidable by Theorem 16.

$\forall I$ 's premise is smaller by part (3a)(i);  $\forall E\hat{\alpha}$ 's premise is smaller by part (3b)(ii).

$\text{ExSubst}\Downarrow$ 's premise is smaller by part (3a)(ii);  $\text{ExSubst}\uparrow$ 's, by part (3b)(iii).

$\rightarrow I\hat{\alpha}$ 's premise is smaller by part (3a)(iii).  $\square$

**Theorem 18** (Soundness of System  $\text{Bi}^{\hat{\alpha}}$ ). *If  $\Gamma \vdash \mathcal{J} \dashv \Gamma'$  and  $\Omega$  completes  $\Gamma'$  then  $[\Omega]\Gamma' \vdash [\Omega]\mathcal{J}'$ , where  $\mathcal{J}'$  is  $\mathcal{J}$  with any **hint** ... **in**  $e$  subterms replaced by  $e$  and hints in annotations removed.*

*Proof.* Since  $\Omega$  completes  $\Gamma'$ , we have  $\Omega \supseteq \Gamma'$ : any variable  $\hat{\alpha}$  that is solved in  $\Gamma'$  is also solved, and has the same solution, in  $\Omega$ . Moreover, it follows from Lemma 13 that  $\Gamma' \supseteq \Gamma$ . Since  $\supseteq$  is a transitive relation, any  $\hat{\alpha}$  solved in  $\Gamma$  is solved and has the same solution in  $\Omega$ .

When applying the IH, we must ensure that the  $\Omega$  and  $\Gamma'$  we apply the IH with are in sync. For example, in the case for  $\forall I$  the output context in the subderivation is  $\Gamma', \alpha, \Gamma_Z$  while the output context for the derivation is  $\Gamma'$ . The given  $\Omega$  completes  $\Gamma'$ , not  $\Gamma', \alpha, \Gamma_Z$ , so it must be extended as follows: Add solutions in  $\Gamma_Z$  to  $\Omega$ ; for unsolved variables  $\hat{\beta}$ , choose *any* well-formed type  $B$ — $\mathbf{1}$  is the easiest choice since it has no free type variables and is thus well-formed in every context—and add  $\hat{\beta} = B$  to  $\Omega$ . This works because  $\forall I$  strips out all the declarations in  $\Gamma_Z$ , so  $\hat{\beta}$  is about to leave this world unsolved, and therefore unconstrained.

In the  $\forall E \hat{\alpha}$  case, the IH gives  $[\Omega]\Gamma \vdash e \uparrow \forall \alpha. [\Omega]A$ . Since  $\Omega$  is solved,  $\hat{\alpha} = A' \in \Omega$ , and by Lemma 12,  $\Gamma \vdash A'$  wf. By Corollary 10,  $[\Omega]\Gamma \vdash [\Omega]A'$  wf. By  $\forall E$ ,  $[\Omega]\Gamma \vdash e \uparrow [[\Omega]A'/\alpha]([\Omega]A)$ . By a property of substitutions,  $[[\Omega]A'/\alpha]([\Omega]A) = [\Omega][A'/\alpha]A$ , giving the result.

In the  $\text{ExSubst}\Downarrow$  case, the IH yields  $[\Omega]\Gamma \vdash e \Downarrow [\Omega]\Gamma(\hat{\alpha})$ ; the variable  $\hat{\alpha}$  cannot be free in  $\Gamma(\hat{\alpha})$ , and we earlier noted that  $\Omega(\hat{\alpha}) = \Gamma(\hat{\alpha})$ , so in fact  $[\Omega]\Gamma(\hat{\alpha}) = [\Omega]\Omega(\hat{\alpha}) = [\Omega]\hat{\alpha}$ , giving the result.  $\text{ExSubst}\uparrow$  and  $\text{ExSubst}\{L,R\} \leq$  are similar.

In the  $\rightarrow I \hat{\alpha}$  case, the IH gives  $[\Omega]\Gamma, x:([\Omega]\hat{\alpha}_1) \vdash e_0 \Downarrow [\Omega]\hat{\alpha}_2$ . By  $\rightarrow I$ ,  $[\Omega]\Gamma \vdash \lambda x. e_0 \Downarrow ([\Omega]\hat{\alpha}_1) \rightarrow ([\Omega]\hat{\alpha}_2)$ . The declaration  $\hat{\alpha} = \hat{\alpha}_1 \rightarrow \hat{\alpha}_2$  is in  $\Gamma$ , so by Lemma 13 it is also in  $\Omega$ . Thus, we have  $\dots \Downarrow [\Omega]\hat{\alpha}$ , which was to be shown.

In the  $\hat{\alpha} = L \leq$  case, we have  $(\hat{\alpha} = B) \in \Gamma'$ . want  $[\Omega]\Gamma \vdash [\Omega]\hat{\alpha} \leq [\Omega]B$ . By Lemma 13,  $(\hat{\alpha} = B) \in \Omega$ , so  $[\Omega]\hat{\alpha} = [\Omega]B$ . The result follows by reflexivity of  $\leq$ . The  $\hat{\alpha} = R \leq$  case is symmetric.

The  $\rightarrow \hat{\alpha} L \leq$ ,  $\rightarrow \hat{\alpha} R \leq$  cases use similar reasoning as the  $\rightarrow I \hat{\alpha}$  case.

The remaining cases are straightforward.  $\square$

$$\begin{array}{c}
 \frac{\Gamma \vdash e \uparrow \forall \alpha. \alpha \rightarrow \alpha}{\Gamma \vdash e \uparrow [\mathbf{1} \rightarrow \text{int}] (\alpha \rightarrow \alpha)} \forall E \quad \frac{\Gamma, x:\mathbf{1} \vdash \dots \Downarrow \text{int}}{\Gamma \vdash \lambda x. \dots \Downarrow \mathbf{1} \rightarrow \text{int}} \rightarrow I \quad \mathbf{1} \rightarrow \text{int} \leq \mathbf{1} \rightarrow \text{int} \\
 \vdots \qquad \qquad \qquad \vdots \qquad \qquad \qquad \vdots \\
 \hline
 \dots
 \end{array}$$
  

$$\begin{array}{c}
 \frac{\Gamma \vdash e \uparrow \forall \alpha. \alpha \rightarrow \alpha \dashv \Gamma}{\Gamma \vdash e \uparrow [\hat{\alpha}/\alpha] (\alpha \rightarrow \alpha) \dashv \Gamma, \hat{\alpha}} \forall E \hat{\alpha} \quad \frac{\Gamma_2, x:\hat{\alpha}_1 \vdash \dots \Downarrow \hat{\alpha}_2 \dashv \Gamma_2, x:\hat{\alpha}_1}{\Gamma, \hat{\alpha} \vdash \lambda x. \dots \Downarrow \hat{\alpha} \dashv \Gamma_2} \rightarrow I \hat{\alpha} \quad \Gamma_2 \vdash \hat{\alpha} \leq \mathbf{1} \rightarrow \text{int} \dashv \overbrace{\Gamma, \hat{\alpha}_1 = \mathbf{1}, \hat{\alpha}_2 = \text{int}, \hat{\alpha} = \hat{\alpha}_1 \rightarrow \hat{\alpha}_2}^{\Omega} \\
 \vdots \qquad \qquad \qquad \vdots \qquad \qquad \qquad \vdots \\
 \hline
 \dots
 \end{array}$$

**Figure 14:** Corresponding derivations in System  $\text{Bi}$  (above) and System  $\text{Bi}^{\hat{\alpha}}$  (below)

Stipulating that certain occurrences of  $\mathbf{1} \rightarrow \text{int}$  in the middle and right of the derivation do in fact flow from the occurrence of  $\mathbf{1} \rightarrow \text{int}$  on the left, the System  $\text{Bi}^{\hat{\alpha}}$  derivation should look like the one at the bottom of Figure 14, where  $\Gamma_2 = \Gamma, \hat{\alpha}_1, \hat{\alpha}_2, \hat{\alpha} = \hat{\alpha}_1 \rightarrow \hat{\alpha}_2$ . For the various judgments  $\Gamma'_1 \vdash \dots \dashv \Gamma'_2$  in the System  $\text{Bi}^{\hat{\alpha}}$  derivation, the contexts  $\Gamma'_1$  and  $\Gamma'_2$  don't disagree with  $\Omega$ ; they may say less—for example, just after we create  $\hat{\alpha}$  on the left there is no information about  $\hat{\alpha}$ —but they don't contradict it.

**Theorem 21** (Predicative Completeness). *For any  $\Omega$  and  $\Gamma'$  and predicative derivation  $\mathcal{D} :: \Gamma \vdash [\Omega]\mathcal{J}$  in System  $\text{Bi}$ , provided that*

(1)  $\Omega$  is predicative (for any  $\widehat{\alpha}$ , the type  $\Omega(\widehat{\alpha})$  is monomorphic) and articulated

(2)  $\Omega$  completes  $\Gamma'_1$ , and  $[\Omega]\Gamma'_1 = \Gamma$

$$\begin{aligned} \text{then } [\Omega]\Gamma'_1 \vdash [\Omega]A' \leq [\Omega]B' &\implies \Gamma'_1 \vdash A' \leq B' \dashv \Gamma'_2 \\ [\Omega]\Gamma'_1 \vdash e \Downarrow [\Omega]A' &\implies \Gamma'_1 \vdash e \Downarrow A' \dashv \Gamma'_2 \\ [\Omega]\Gamma'_1 \vdash e \Uparrow C &\implies \Gamma'_1 \vdash e \Uparrow C' \dashv \Gamma'_2 \\ &\text{for some } C' \text{ such that} \\ &C = [\Omega]C' \end{aligned}$$

*Proof.* By induction on  $\mathcal{D}$ .

Assuming the given types  $[\Omega]A'$ , etc. are well-formed, by Lemma 11 the types  $A'$ , etc. are well-formed under  $\Gamma'_1$ . But the type  $C$  in the synthesis judgment is well-formed under  $\Gamma$ , while the type  $C'$  in the consequent of the theorem is well-formed under  $\Gamma'_2$ —and not necessarily under  $\Gamma'_1$ , as  $\Gamma'_2$  may contain existential type variables that  $\Gamma'_1$  does not.

$$\bullet \text{ Case } \rightarrow \leq: \quad \mathcal{D} :: \frac{\Gamma \vdash B_1 \leq A_1 \quad \Gamma \vdash A_2 \leq B_2}{\Gamma \vdash \underbrace{A_1 \rightarrow A_2}_{[\Omega]A'} \leq \underbrace{B_1 \rightarrow B_2}_{[\Omega]B'}}$$

We know that  $[\Omega]A' = A_1 \rightarrow A_2$ . Either  $\{\rightarrow A' \text{ case}\}$   $A' = A'_1 \rightarrow A'_2$  (so  $[\Omega]A' = [\Omega]A'_1 \rightarrow [\Omega]A'_2 = A_1 \rightarrow A_2$ ) or  $\{\widehat{\alpha}A' \text{ case}\}$   $A' = \widehat{\alpha}$  (so  $[\Omega]A' = [\Omega]\widehat{\alpha}$ ). Similarly, we distinguish  $\{\rightarrow B' \text{ case}\}$  and  $\{\widehat{\beta}B' \text{ case}\}$  depending on whether  $B'$  is  $B'_1 \rightarrow B'_2$  or  $\widehat{\beta}$ . (Note that possibly  $\widehat{\beta} = \widehat{\alpha}$ .)

–  $\{\rightarrow A' \text{ and } \rightarrow B' \text{ case}\}$ :

$$\begin{aligned} \Gamma'_1 \vdash B'_1 \leq A'_1 \dashv \Gamma'_2 &\quad \text{By IH} \\ \Gamma'_2 \vdash A'_2 \leq B'_2 \dashv \Gamma'_3 &\quad \text{By IH} \\ \Gamma'_1 \vdash A'_1 \rightarrow A'_2 \leq B'_1 \rightarrow B'_2 \dashv \Gamma'_3 &\quad \text{By } \rightarrow \leq \end{aligned}$$

–  $\{\widehat{\alpha}A' \text{ and } \rightarrow B' \text{ case}\}$ :

$$\Gamma'_1 \vdash A'_1 \rightarrow A'_2 \leq B'_1 \rightarrow B'_2 \dashv \Gamma'_3 \quad \text{As preceding case}$$

If  $\Gamma'_1$  includes a solution for  $\widehat{\alpha}$ , then:

$$\spadesuit \Gamma'_1 \vdash \widehat{\alpha} \leq B'_1 \rightarrow B'_2 \dashv \Gamma'_3 \quad \text{By ExSubstL}\leq$$

Otherwise,  $\Gamma'_1$  does not include a solution for  $\widehat{\alpha}$ .

\*  $\Omega(\widehat{\alpha}) = [\Omega]A' = A_1 \rightarrow A_2$  must have the form  $\widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2$ , because  $\Omega$  is predicative and articulated. We assumed that  $\Gamma'_1$  does not include a solution for  $\widehat{\alpha}$ , so  $\Gamma'_1 = \Gamma_L, \widehat{\alpha}, \Gamma_R$ . Let  $\Gamma_+ = \Gamma_L, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2, \Gamma_R$ .

$$\Gamma_+ \vdash B'_1 \leq \widehat{\alpha}_1 \dashv \Gamma_M \quad \text{By IH on } \Gamma \vdash B_1 \leq A_1, \\ \text{taking } \Gamma_L, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2 \text{ as } \Gamma'_1$$

$$\Gamma_M \vdash \widehat{\alpha}_2 \leq B'_2 \dashv \Gamma'_2 \quad \text{By IH}$$

$$\Gamma_+ \vdash \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2 \leq B'_1 \rightarrow B'_2 \dashv \Gamma'_2 \quad \text{By } \rightarrow \leq$$

$$\Gamma_+ \vdash \widehat{\alpha} \leq B'_1 \rightarrow B'_2 \dashv \Gamma'_2 \quad \text{By ExSubstL}\leq$$

$$\spadesuit \Gamma'_1 \vdash \widehat{\alpha} \leq B'_1 \rightarrow B'_2 \dashv \Gamma'_2 \quad \text{By } \rightarrow \widehat{\alpha}L \leq$$

–  $\{\rightarrow A' \text{ and } \widehat{\beta}B' \text{ case}\}$ : Symmetric to the  $\{\widehat{\alpha}A' \text{ and } \rightarrow B' \text{ case}\}$ .

–  $\{\widehat{\alpha}A' \text{ and } \widehat{\beta}B' \text{ case}\}$ : If either  $\widehat{\alpha}$  or  $\widehat{\beta}$  is solved in  $\Gamma'_1$ , then the solution in  $\Gamma'_1$  has an  $\rightarrow$  at its head (since the solution in  $\Omega$  does). Using suitably articulated contexts, use the IH, then use ExSubst and  $\rightarrow \widehat{\alpha}L \leq$  or  $\rightarrow \widehat{\alpha}R \leq$  as needed.

If neither is solved and  $\widehat{\alpha} = \widehat{\beta}$ , then the result follows by  $\widehat{\alpha} \text{Refl}\leq$ .

Otherwise, neither is solved and  $\hat{\alpha} \neq \hat{\beta}$ . So add a solution for whichever of  $\hat{\alpha}$  and  $\hat{\beta}$  is declared last in  $\Gamma'_1$ . Suppose without loss of generality that  $\Gamma'_1 = \Gamma_L, \hat{\alpha}, \Gamma_C, \hat{\beta}, \Gamma_R$ .

$$\Gamma'_1 \vdash \hat{\alpha} \leq \hat{\beta} \vdash \Gamma_L, \hat{\alpha}, \Gamma_C, \hat{\beta} = \hat{\alpha} \quad \text{By } \hat{\alpha} = R \leq$$

- **Case  $\alpha \text{Refl} \leq$ :**  $\mathcal{D} :: \frac{}{\Gamma \vdash \alpha \leq \alpha}$

We have  $\alpha = [\Omega]A' = [\Omega]B'$ . The types  $A'$  and  $B'$  can each be  $\alpha$  or various existential variables.

If  $A' = B' = \alpha$ , the result follows by  $\alpha \text{Refl} \leq$ , giving  $\Gamma'_1 \vdash \alpha \leq \alpha \vdash \Gamma'_1$ .

If  $A' = \alpha$  and  $B'$  is some solved  $\hat{\beta}$ , the result follows by  $\alpha \text{Refl} \leq$ , yielding  $\Gamma'_1 \vdash \alpha \leq \alpha \vdash \Gamma'_1$  then  $\text{ExSubstR} \leq$  for  $\Gamma'_1 \vdash \alpha \leq \hat{\beta} \vdash \Gamma'_1$ .

If  $\hat{\beta}$  is unsolved:  $\hat{\beta}$  is well-formed in  $\Gamma'_1$ , so  $\Gamma'_1 = \Gamma_L, \hat{\beta}, \Gamma_R$ . Applying  $\hat{\alpha} = R \leq$  gives  $\Gamma_L, \hat{\beta}, \Gamma_R \vdash \alpha \leq \hat{\beta} \vdash \Gamma_L, \hat{\beta} = \alpha, \Gamma_R$ . Let  $\Gamma'_2 = \Gamma_L, \hat{\beta} = \alpha, \Gamma_R$ . Substituting gives  $\Gamma'_1 \vdash \alpha \leq \hat{\beta} \vdash \Gamma'_2$ , which was to be shown.

The subcases where  $B' = \alpha$  and  $A'$  is some solved  $\hat{\beta}$  are symmetric to the last two.

If  $A' = \hat{\gamma}$  and  $B' = \hat{\beta}$ , first apply  $\alpha \text{Refl} \leq$ , then:

- If both are solved in  $\Gamma'_1$ , apply  $\text{ExSubstL} \leq$  then  $\text{ExSubstR} \leq$ .
- If only  $\hat{\gamma}$  is solved, apply  $\text{ExSubstL} \leq$  then  $\hat{\alpha} = R \leq$ .
- If only  $\hat{\beta}$  is solved, apply  $\text{ExSubstR} \leq$  then  $\hat{\alpha} = L \leq$  (symmetric to the last).
- If neither is solved: Both  $\hat{\gamma}$  and  $\hat{\beta}$  are well-formed under  $\Gamma'_1$ . Either  $\hat{\gamma}$  comes first or  $\hat{\beta}$  comes first. Suppose  $\hat{\beta}$  comes first. Then  $\hat{\alpha} = L \leq$  gives  $\Gamma'_1 \vdash \hat{\gamma} \leq \hat{\beta} \vdash \dots, \hat{\alpha} = \hat{\beta}, \dots$

- **Case  $1 \leq$ :** Similar to the previous case, using  $1 \leq$  in place of  $\alpha \text{Refl} \leq$ .

- **Case  $\forall L \leq$ :**  $\mathcal{D} :: \frac{\Gamma \vdash [C/\alpha]A_0 \leq B \quad \Gamma \vdash C \text{ wf}}{\Gamma \vdash \underbrace{\forall \alpha. A_0}_{[\Omega]A'} \leq \underbrace{B}_{[\Omega]B'}}$

We know that  $[\Omega]A' = \forall \alpha. A_0$ . Either  $\{\forall A' \text{ case}\}$   $A' = \forall \alpha. A'_0$ , so  $[\Omega]A' = \forall \alpha. [\Omega]A'_0$ , or  $\{\hat{\gamma}A \text{ case}\}$   $A' = \hat{\gamma}$  so  $[\Omega]\hat{\gamma} = \forall \alpha. \dots$ , which is impossible by the assumption that  $\Omega$  is predicative.

- $\{\forall A' \text{ case}\}$ :

Choose a fresh  $\hat{\alpha}$ . Let  $\Omega' = \Omega, \text{Artic}(\hat{\alpha} = C)$ .

$$\begin{aligned} A_0 &= [\Omega]A'_0 && \text{Above} \\ [C/\alpha]A_0 &= [C/\alpha][\Omega]A'_0 && \text{Applying } [C/\alpha] \text{ to both sides} \\ &= [\Omega]([C/\alpha]A'_0) && \text{Permutation (no ex. vars. in } C) \\ &= [\Omega]([C/\hat{\alpha}][\hat{\alpha}/\alpha]A'_0) && \hat{\alpha} \text{ fresh} \\ &= [\Omega, \text{Artic}(\hat{\alpha} = C)][\hat{\alpha}/\alpha]A'_0 && \text{Definitions of articulation and substitution} \\ &= [\Omega'][\hat{\alpha}/\alpha]A'_0 && \text{Definition of } \Omega' \text{ above} \end{aligned}$$

Therefore  $[C/\alpha]A_0 = [\Omega']([\hat{\alpha}/\alpha]A'_0)$ , and we can apply the IH:

$$\begin{aligned} \Gamma'_1, \hat{\alpha} \vdash [\hat{\alpha}/\alpha]A'_0 \leq B' \vdash \Gamma_R & \quad \text{By IH with } \Omega' \\ \Gamma_R = \Gamma'_2, \hat{\alpha}[\dots], \Gamma_Z & \quad [\hat{\alpha}/\alpha]A'_0 \text{ well-formed under } \Gamma_R, \text{ so } \hat{\alpha} \in \text{dom}(\Gamma_R) \\ \Gamma'_1 \vdash \forall \alpha. A' \leq B' \vdash \Gamma'_2 & \quad \text{By } \forall L \hat{\alpha} \leq \end{aligned}$$

$$\bullet \text{ Case } \forall R \leq: \quad \mathcal{D} :: \frac{\Gamma, \beta \vdash A \leq B_0}{\Gamma \vdash \underbrace{A}_{[\Omega]A'} \leq \underbrace{\forall \beta. B_0}_{[\Omega]B'}}$$

We know that  $[\Omega]B' = \forall \beta. B_0$ . Either  $\{\forall B' \text{ case}\}$   $B' = \forall \beta. B_0'$  (so  $[\Omega]B' = \forall \beta. [\Omega]B_0'$ ) or  $\{\widehat{\gamma}B \text{ case}\}$   $B' = \widehat{\gamma}$ .

–  $\{\forall B' \text{ case}\}$ :

$$\begin{array}{ll} \Gamma'_1, \beta \vdash A' \leq B' \vdash \Gamma'_2 & \text{By IH} \\ \Gamma''_2 = \Gamma'_2, \beta, \Gamma_Z & \text{By } \overline{\Gamma''_2} = \Gamma \text{ (follows from Lemma 13)} \\ \Gamma'_1 \vdash A' \leq \forall \beta. B'_1 \vdash \Gamma'_2 & \text{By } \forall R \leq \end{array}$$

–  $\{\widehat{\gamma}B' \text{ case}\}$ :

Applying  $\Omega$  to  $B' = \widehat{\gamma}$  gives  $[\Omega]B' = [\Omega]\widehat{\gamma}$ , which is equal to  $\Omega(\widehat{\gamma})$ . But since  $[\Omega]B' = \forall \beta. B_0$ , we have  $\Omega(\widehat{\gamma}) = \forall \beta. B_0$ , which contradicts our assumption that  $\Omega$  is predicative: this case is impossible.

$$\bullet \text{ Case var: } \quad \mathcal{D} :: \frac{\Gamma(x) = A}{\Gamma \vdash x \uparrow A}$$

$\Gamma = [\Omega]\Gamma'_1$ . Therefore  $\Gamma(x) = [\Omega](\Gamma'_1(x))$ . So  $\Gamma'_1(x) = A'$  where  $[\Omega]A' = A$ . The result,  $\Gamma'_1 \vdash x \uparrow A' \vdash \Gamma'_1$ , follows by var.

$$\bullet \text{ Case sub: } \quad \mathcal{D} :: \frac{\Gamma \vdash e \uparrow B \quad \Gamma \vdash B \leq A}{\Gamma \vdash e \downarrow A}$$

By IH,  $\Gamma'_1 \vdash e \uparrow B' \vdash \Gamma_M$  where  $[\Omega]B' = B$ . We have  $[\Omega]A' = A$ . By IH,  $\Gamma_M \vdash B' \leq A' \vdash \Gamma'_2$ . The result follows by sub.

$$\bullet \text{ Case anno: } \quad \mathcal{D} :: \frac{N \lesssim (\Gamma \vdash A) \quad \Gamma \vdash e \downarrow A}{\Gamma \vdash (e : N) \uparrow A}$$

The result follows by the IH and anno. (The  $\lesssim$  premise of anno in System Bi $\widehat{\alpha}$  does not involve existential contexts; see Section 3.2.1.)

$$\bullet \text{ Case } \rightarrow I: \quad \mathcal{D} :: \frac{\Gamma, x:A_1 \vdash e \downarrow A_2}{\Gamma \vdash \lambda x. e \downarrow \underbrace{A_1 \rightarrow A_2}_{[\Omega]A'}}$$

If  $A' = A'_1 \rightarrow A'_2$  (with  $[\Omega]A'_1 = A_1$  and  $[\Omega]A'_2 = A_2$ ): The IH gives  $\Gamma'_1, x:A'_1 \vdash e \downarrow A'_2 \vdash \Gamma_M$ . By Lemma 5,  $\overline{\Gamma_M} = \overline{\Gamma'_1}$ ; then, by Lemma 6,  $\Gamma_M = \Gamma'_2, x:A'_1, \Gamma_R$ . Applying  $\rightarrow I$  gives  $\Gamma'_1 \vdash \lambda x. e \downarrow A'_1 \rightarrow A'_2 \vdash \Gamma'_2$ , which was to be shown.

Otherwise,  $A' = \widehat{\alpha}$  and  $\Omega(\widehat{\alpha}) = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2$ , where  $A_1 = [\Omega]\widehat{\alpha}_1$  and  $A_2 = [\Omega]\widehat{\alpha}_2$ .

–  $\{\text{solved case}\}$ :  $\widehat{\alpha}$  solved in  $\Gamma'_1$ ; since  $\Gamma'_1$  is articulated,  $\widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2 \in \Gamma'_1$ .

$$\begin{array}{ll} \Gamma'_1, x:\widehat{\alpha}_1 \vdash e \downarrow \widehat{\alpha}_2 \vdash \Gamma'_2, x:\widehat{\alpha}_1, \Gamma_R & \text{By IH} \\ \Gamma'_1 \vdash \lambda x. e \downarrow \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2 \vdash \Gamma'_2 & \text{By } \rightarrow I \\ \Gamma'_1 \vdash \lambda x. e \downarrow \widehat{\alpha} \vdash \Gamma'_2 & \text{By ExSubst}\downarrow \end{array}$$

– {not-solved case}:  $\widehat{\alpha}$  not solved in  $\Gamma'_1$ : decompose  $\Gamma'_1$  into  $\Gamma_{11}, \widehat{\alpha}, \Gamma_{12}$ .

$$\begin{array}{l}
 \Gamma_{11}, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2, \Gamma_{12}, x:\widehat{\alpha}_1 \vdash e \Downarrow \widehat{\alpha}_2 \dashv \Gamma_L, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2, \Gamma_{12}, x:\widehat{\alpha}_1, \Gamma_R \quad \text{By IH} \\
 \Gamma_{11}, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2, \Gamma_{12} \vdash \lambda x. e \Downarrow \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2 \dashv \Gamma_L, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2, \Gamma_{12} \quad \text{By } \rightarrow\text{I} \\
 \Gamma_{11}, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2, \Gamma_{12} \vdash \lambda x. e \Downarrow \widehat{\alpha} \dashv \Gamma_L, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2, \Gamma_{12} \quad \text{By ExSubst}\Downarrow \\
 \dashv \Gamma_{11}, \widehat{\alpha}, \Gamma_{12} \vdash \lambda x. e \Downarrow \widehat{\alpha} \dashv \Gamma_L, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2 \quad \text{By } \rightarrow\text{I}\widehat{\alpha}
 \end{array}$$

• **Case  $\rightarrow\text{E}$ :**

$$\mathcal{D} :: \frac{\Gamma \vdash e_1 \Uparrow B \rightarrow A \quad \Gamma \vdash e_2 \Downarrow B}{\Gamma \vdash e_1 e_2 \Uparrow \underbrace{A}_{[\Omega]A'}}$$

By IH,  $\Gamma'_1 \vdash e_1 \Uparrow C' \dashv \Gamma_M$  where  $[\Omega]C' = B \rightarrow A$ .

If  $C' = B' \rightarrow A'$  then  $[\Omega]B' = B$  and  $[\Omega]A' = A$ . By IH,  $\Gamma_M \vdash e_2 \Downarrow B' \dashv \Gamma'_2$ . The result is by  $\rightarrow\text{E}$ .

Otherwise,  $C' = \widehat{\alpha}$  and  $\Omega(\widehat{\alpha}) = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2$ . Since  $[\Omega]C' = B \rightarrow A$ , we have  $[\Omega]\widehat{\alpha}_1 = B$  and  $[\Omega]\widehat{\alpha}_2 = A$ . The type  $C'$  must be well-formed under  $\Gamma'_1$  and under  $\Gamma_M$ , so  $\widehat{\alpha}$  must be defined within those contexts:

$$\Gamma'_1 = \Gamma_{11}, \widehat{\alpha}, \Gamma_{12} \quad \text{and} \quad \Gamma_M = \Gamma_L, \widehat{\alpha}, \Gamma_R$$

Therefore the IH really gave us  $\Gamma_{11}, \widehat{\alpha}, \Gamma_{12} \vdash e_1 \Uparrow \widehat{\alpha} \dashv \Gamma_L, \widehat{\alpha}, \Gamma_R$ . Applying the IH to  $\Gamma \vdash e_2 \Downarrow B$ , with input context  $\Gamma_L, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2, \Gamma_R$  yields

$$\Gamma_L, \widehat{\alpha}_1, \widehat{\alpha}_2, \widehat{\alpha} = \widehat{\alpha}_1 \rightarrow \widehat{\alpha}_2, \Gamma_R \vdash e_2 \Downarrow \widehat{\alpha}_1 \dashv \Gamma'_2$$

$\rightarrow\text{E}\widehat{\alpha}$  gives  $\Gamma_{11}, \widehat{\alpha}, \Gamma_{12} \vdash e_1 e_2 \Uparrow \widehat{\alpha}_2 \dashv \Gamma'_2$ , which is the same as  $\Gamma'_1 \vdash e_1 e_2 \Uparrow \widehat{\alpha}_2 \dashv \Gamma'_2$ , which was to be shown.

• **Case II:** Since  $A = \mathbf{1}$ , either  $A' = \mathbf{1}$  and we just apply II, or  $A' = \widehat{\alpha}$  where  $[\Omega]\widehat{\alpha} = \mathbf{1}$ , in which case the result follows by II and ExSubst $\Downarrow$ .

• **Case VI:**

$$\mathcal{D} :: \frac{\Gamma, \alpha \vdash e \Downarrow A_0}{\Gamma \vdash e \Downarrow \underbrace{\forall \alpha. A_0}_{[\Omega]A'}}$$

$A'$  is either  $\forall \alpha. A'_0$  or  $\widehat{\beta}$ . But if  $A' = \widehat{\beta}$  then  $[\Omega]\widehat{\beta} = \forall \alpha. A_0$ , violating the assumption that  $\Omega$  is predicative. Therefore  $A' = \forall \alpha. A'_0$ , and  $[\Omega]A'_0 = A_0$ .

$$\begin{array}{l}
 \Gamma'_1, \alpha \vdash e \Downarrow A'_0 \dashv \Gamma'_2, \alpha, \Gamma_Z \quad \text{By IH} \\
 \dashv \Gamma'_1 \vdash e \Downarrow \forall \alpha. A'_0 \dashv \Gamma'_2 \quad \text{By VI}
 \end{array}$$

• **Case  $\forall\text{E}$ :**

$$\mathcal{D} :: \frac{\Gamma \vdash e \Uparrow \forall \alpha. A_0 \quad \Gamma \vdash B \text{ wf}}{\Gamma \vdash e \Uparrow [B/\alpha]A_0}$$

Extend  $\Omega$  with the articulation of  $\widehat{\alpha} = B$ , yielding  $\Omega'$ . By IH,  $\Gamma'_1 \vdash e \Uparrow A' \dashv \Gamma'_2$  where  $[\Omega']A' = \forall \alpha. A_0$ . Since  $\Omega$  is predicative,  $A'$  must have the form  $\forall \alpha. A'_0$  where  $[\Omega]A'_0 = A_0$ . By  $\forall\text{E}\widehat{\alpha}$ ,

$$\Gamma'_1 \vdash e \Uparrow [\widehat{\alpha}/\alpha]A'_0 \dashv \Gamma'_2, \widehat{\alpha}$$

The context  $\Omega'$  includes the articulation of  $\widehat{\alpha} = B$ , so  $[\Omega]\widehat{\alpha} = B$ . Then  $[\Omega][\widehat{\alpha}/\alpha]A'_0 = [B/\alpha]A_0$ .  $\square$