Mining Software Engineering Data

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Some slides are adapted from tutorial slides co-prepared by Jian Pei from Simon Fraser University, Canada

An up-to-date version of this tutorial is available at http://ase.csc.ncsu.edu/dmse/dmse-icse08-tutorial.pdf

Ahmed E. Hassan

- Assistant Professor at Queen's University, Canada
- · Leads the SAIL research group at Queen's
- Co-chair for Workshop on Mining Software Repositories (MSR) from 2004-2006
- · Chair of the steering committee for MSR





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Tao Xie

- Assistant Professor at North Carolina State University, USA
- · Leads the ASE research group at NCSU
- Co-presented tutorials on Mining Software Engineering Data at KDD 2006, ICSE 2007, & ICDM 2007
- Co-organizer of 2007 Dagstuhl Seminar on Mining Programs and Processes





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Acknowledgments

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- · Peter Rigby, U. of Victoria
- · Sunghun Kim, MIT
- · John Anvik, U. of Victoria

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Tutorial Goals

- · Learn about:
 - Recent and notable research and researchers in mining SE data
 - Data mining and data processing techniques and how to apply them to SE data
 - Risks in using SE data due to e.g., noise, project culture
- · By end of tutorial, you should be able:
 - Retrieve SE data
 - Prepare SE data for mining
 - Mine interesting information from SE data

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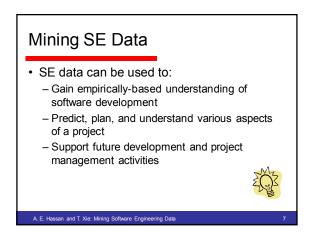
Mining SE Data

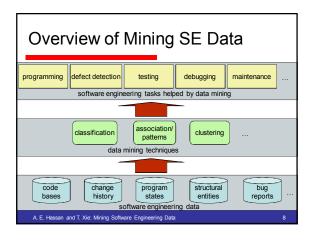
• MAIN GOAL

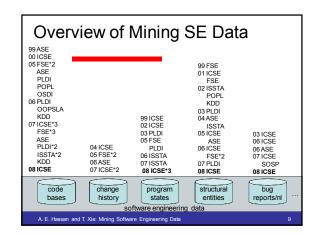
- Transform static record-keeping SE data to active data

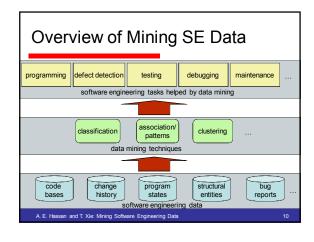
- Make SE data actionable by uncovering hidden patterns and trends

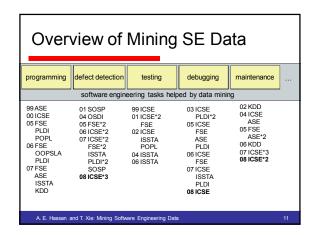
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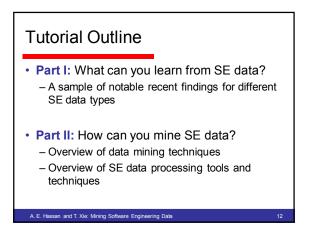












Types of SE Data

- · Historical data
 - Version or source control: cvs, subversion, perforce
 - Bug systems: bugzilla, GNATS, JIRA
 - Mailing lists: mbox
- · Multi-run and multi-site data
 - Execution traces
 - Deployment logs
- · Source code data
 - Source code repositories: sourceforge.net, google code

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Historical Data

"History is a guide to navigation in perilous times. History is who we are and why we are the way we are."

- David C. McCullough

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Historical Data

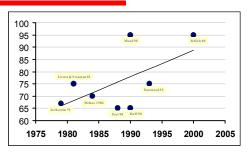
- · Track the evolution of a software project:
 - source control systems store changes to the code
 - defect tracking systems follow the resolution of defects
 - archived project communications record rationale for decisions throughout the life of a project
- · Used primarily for record-keeping activities:
 - checking the status of a bug
 - retrieving old code



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Percentage of Project Costs

Devoted to Maintenance



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Survey of Software Maintenance Activities

- · Perfective: add new functionality
- Corrective: fix faults
- · Adaptive: new file formats, refactoring



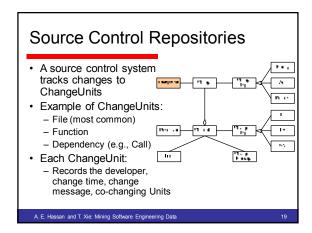
39.0 56.7

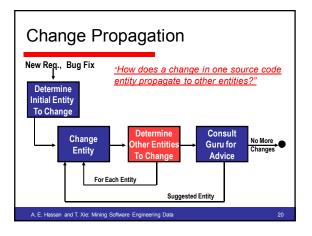
Lientz, Swanson, Tomhkins [1978] Nosek, Palvia [1990] Schach, Jin, Yu, Heller, Offutt [2003]

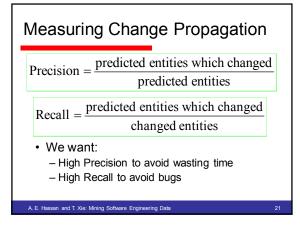
Mining ChangeLogs
(Linux, GCC, RTP)

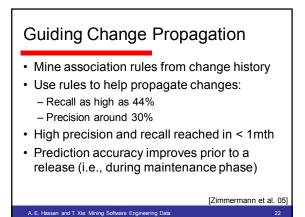
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Source Control Repositories

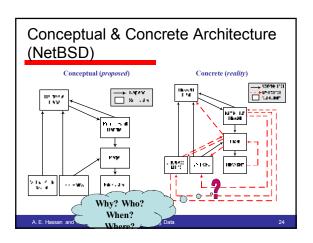


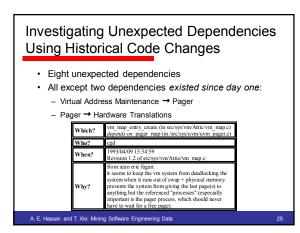


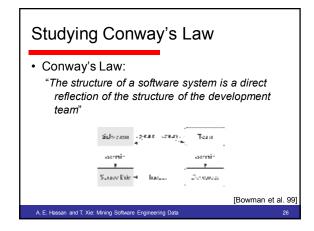


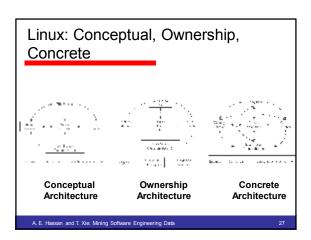


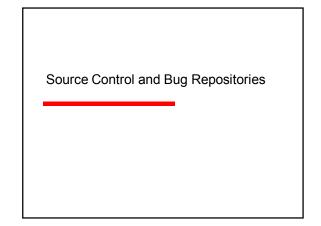
Code Sticky Notes Traditional dependency graphs and program understanding models usually do not use historical information Static dependencies capture only a static view of a system — not enough detail! Development history can help understand the current structure (architecture) of a software system [Hassan & Holt 04]



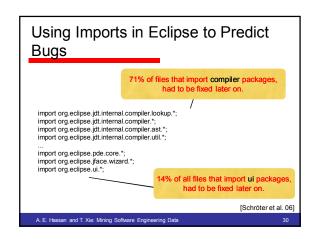


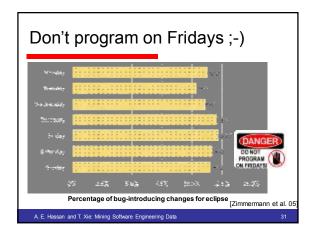


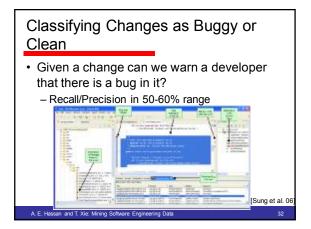




Predicting Bugs Studies have shown that most complexity metrics correlate well with LOC! Graves et al. 2000 on commercial systems Herraiz et al. 2007 on open source systems Noteworthy findings: Previous bugs are good predictors of future bugs The more a file changes, the more likely it will have bugs in it Recent changes affect more the bug potential of a file over older changes (weighted time damp models) Number of developers is of little help in predicting bugs Hard to generalize bug predictors across projects unless in similar domains [Nagappan, Ball et al. 2006]





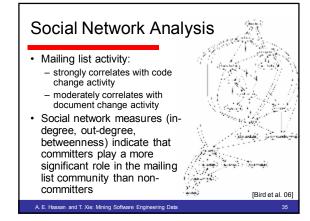


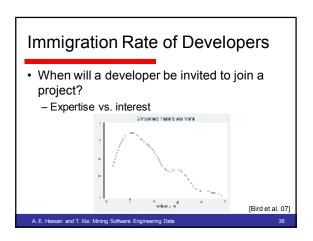
Project Communication - Mailing lists

Project Communication (Mailinglists)

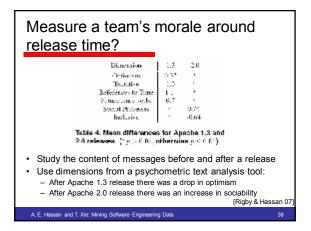
- Most open source projects communicate through mailing lists or IRC channels
- Rich source of information about the inner workings of large projects
- Discussions cover topics such as future plans, design decisions, project policies, code or patch reviews
- Social network analysis could be performed on discussion threads

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The Patch Review Process Two review styles RTC: Review-then-commit CTR: Commit-then-review 80% patches reviewed within 3.5 days and 50% reviewed in <19 hrs [Rigby et al. 06]



Program Source Code

Code Entities	
Source data	Mined info
Variable names and function names	Software categories [Kawaguchi et al. 04]
Statement seq in a basic block	Copy-paste code [Li et al. 04]
Set of functions, variables, and data types within a C function	Programming rules [Li&Zhou 05]
Sequence of methods within a Java method	API usages [Xie&Pei 05]
API method signatures	API Jungloids [Mandelin et al. 05]
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Mining API Usage Patterns

- How should an API be used correctly?
 - An API may serve multiple functionalities
 - Different styles of API usage
- "I know what type of object I need, but I don't know how to write the code to get the object" [Mandelin et al. 05]
 - Can we synthesize jungloid code fragments automatically?
 - Given a simple query describing the desired code in terms of input and output types, return a code segment
- "I know what method call I need, but I don't know how to write code before and after this method call" [Xie&Pei 06]

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Relationships btw Code Entities

- Mine framework reuse patterns [Michail 00]
 - Membership relationships
 - A class contains membership functions
 - Reuse relationships
 - Class inheritance/ instantiation
 - · Function invocations/overriding
- Mine software plagiarism [Liu et al. 06]
 - Program dependence graphs

[Michail 99/00] http://codeweb.sourceforge.net/ for C++

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Program Execution Traces

Method-Entry/Exit States

- Goal: mine specifications (pre/post conditions) or object behavior (object transition diagrams)
- · State of an object
 - Values of transitively reachable fields
- · Method-entry state
 - Receiver-object state, method argument values
- · Method-exit state
 - Receiver-object state, updated method argument values, method return value

[Ernst et al. 02] http://pag.csail.mit.edu/daiko [Xie&Notkin 04/05][Dallmeier et al. 06] http://www.st.cs.uni-sb.de/model

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Other Profiled Program States

- · Goal: detect or locate bugs
- Values of variables at certain code locations
 [Hangal&Lam 02]
 - Object/static field read/write
 - Method-call arguments
 - Method returns
- Sampled predicates on values of variables [Liblit et al. 03/05][Liu et al. 05]

[Hangal&Lam 02] http://diduce.sourceforge.net/ [Liblit et al. 03/05] http://www.cs.wisc.edu/cbi/ [Liu et al. 05] http://www.ews.uiuc.edu/~chaoliu/sober.htm

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Executed Structural Entities

- · Goal: locate bugs
- · Executed branches/paths, def-use pairs
- · Executed function/method calls
 - Group methods invoked on the same object
- Profiling options
 - Execution hit vs. count
 - Execution order (sequences)

[Dallmeier et al. 05] http://www.st.cs.uni-sb.de/amp More related tools: http://www.csc.ncsu.edu/faculty/xie/research.htm#relat

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Q&A and break

Part I Review

- We presented notable results based on mining SE data such as:
 - Historical data:
 - Source control: predict co-changes
 - Bug databases: predict bug likelihood
 - Mailing lists: gauge team morale around release time
 - Other data:
 - Program source code: mine API usage patterns
 - Program execution traces: mine specs, detect or locate bugs

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Data Mining Techniques in SE

Part II: How can you mine SE data?

- -Overview of data mining techniques
- -Overview of SE data processing tools and techniques

Data Mining Techniques in SE

- · Association rules and frequent patterns
- Classification
- Clustering
- · Misc.

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Frequent Itemsets

- · Itemset: a set of items
 - E.g., acm={a, c, m}
- · Support of itemsets
 - Sup(acm)=3
- Given min_sup = 3, acm is a frequent pattern
- Frequent pattern mining: find all frequent patterns in a database

Transaction database TDB

TID	Items bought
100	f, a, c, d, g, I, m, p
200	a, b, c, f, l, m, o
300	b, f, h, j, o
400	b, c, k, s, p
500	a, f, c, e, l, p, m, n

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Association Rules

- (Time∈{Fri, Sat}) ∧ buy(X, diaper) → buy(X, beer)
 - Dads taking care of babies in weekends drink beer
- · Itemsets should be frequent
 - It can be applied extensively
- · Rules should be confident
 - With strong prediction capability

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A Simple Case

- Finding highly correlated method call pairs
- Confidence of pairs helps
 - $Conf(\langle a,b \rangle) = support(\langle a,b \rangle)/support(\langle a,a \rangle)$
- Check the revisions (fixes to bugs), find the pairs of method calls whose confidences have improved dramatically by frequent added fixes
 - Those are the matching method call pairs that may often be violated by programmers

[Livshits&Zimmermann 05]

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Conflicting Patterns

- 999 out of 1000 times spin_lock is followed by spin_unlock
 - The single time that spin_unlock does not follow may likely be an error
- We can detect an error without knowing the correctness rules

[Li&Zhou 05, Livshits&Zimmermann 05, Yang et al. 06]

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Detect Copy-Paste Code

- · Apply closed sequential pattern mining techniques
- · Customizing the techniques
 - A copy-paste segment typically does not have big gaps
 use a maximum gap threshold to control
 - Output the instances of patterns (i.e., the copy-pasted code segments) instead of the patterns
 - Use small copy-pasted segments to form larger ones
 - Prune false positives: tiny segments, unmappable segments, overlapping segments, and segments with large gaps

[Li et al. 04]

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Find Bugs in Copy-Pasted Segments

- For two copy-pasted segments, are the modifications consistent?
 - Identifier a in segment S1 is changed to b in segment S2 3 times, but remains unchanged once – likely a bug
 - The heuristic may not be correct all the time
- The lower the unchanged rate of an identifier, the more likely there is a bug

[Li et al. 04]

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Mining Rules in Traces

- Mine association rules or sequential patterns S → F, where S is a statement and F is the status of program failure
- The higher the confidence, the more likely S is faulty or related to a fault
- Using only one statement at the left side of the rule can be misleading, since a fault may be led by a combination of statements
 - Frequent patterns can be used to improve

Denmat et al. 05

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Mining Emerging Patterns in Traces

- A method executed only in failing runs is likely to point to the defect
 - Comparing the coverage of passing and failing program runs helps
- Mining patterns frequent in failing program runs but infrequent in passing program runs
 - Sequential patterns may be used

[Dallmeier et al. 05, Denmat et al. 05]

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Types of Frequent Pattern Mining

- · Association rules
 - open → close
- · Frequent itemset mining
 - {open, close}
- · Frequent subsequence mining
 - open → close
- Frequent partial order mining Frequent graph mining
 Finite automaton mining

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Data Mining Techniques in SE

- · Association rules and frequent patterns
- Classification
- · Clustering
- · Misc.

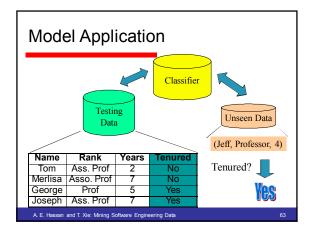
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- Model construction: describe a set of predetermined classes
 - Training dataset: tuples for model construction
 - Each tuple/sample belongs to a predefined class
 - Classification rules, decision trees, or math formulae
- · Model application: classify unseen objects
 - Estimate accuracy of the model using an independent test set
 - Acceptable accuracy → apply the model to classify tuples with unknown class labels

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Model Construction Classification Algorithms Training Classifier Name Rank Years (Model) Ass. Prof Mike 3 No Ass. Prof Marv Bill Prof Asso. Prof Jim Yes IF rank = 'professor' Ass. Prof 6 No Dave OR years > 6 Asso. Prof Anne THEN tenured = 'yes' and T. Xie: Mining S



Supervised vs. Unsupervised Learning

- Supervised learning (classification)
 - Supervision: objects in the training data set have labels
 - New data is classified based on the training set
- Unsupervised learning (clustering)
 - The class labels of training data are unknown
 - Given a set of measurements, observations, etc. with the aim of establishing the existence of classes or clusters in the data

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GUI-Application Stabilizer

- Given a program state S and an event e, predict whether e likely results in a bug
 - Positive samples: past bugs
 - Negative samples: "not bug" reports
- · A k-NN based approach
 - Consider the k closest cases reported before
 - Compare Σ 1/d for bug cases and not-bug cases, where d is the similarity between the current state and the reported states
 - If the current state is more similar to bugs, predict a bug
 [Michail&Xie 05]

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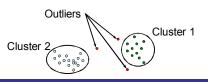
Data Mining Techniques in SE

- · Association rules and frequent patterns
- Classification
- Clustering
- Misc.

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What is Clustering?

- · Group data into clusters
 - Similar to one another within the same cluster
 - Dissimilar to the objects in other clusters
 - Unsupervised learning: no predefined classes



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Clustering and Categorization

- · Software categorization
 - Partitioning software systems into categories
- Categories predefined a classification problem
- Categories discovered automatically a clustering problem

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Software Categorization - MUDABlue

- · Understanding source code
 - Use Latent Semantic Analysis (LSA) to find similarity between software systems
 - Use identifiers (e.g., variable names, function names)
 - "gtk_window" represents some window
 - The source code near "gtk_window" contains some GUI operation on the window
- · Extracting categories using frequent identifiers
 - "gtk_window", "gtk_main", and "gpointer" \rightarrow GTK related software system
 - Use LSA to find relationships between identifiers

[Kawaguchi et al. 04]

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Data Mining Techniques in SE

- · Association rules and frequent patterns
- Classification
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- · Misc.

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Other Mining Techniques

- Automaton/grammar/regular expression learning
- · Searching/matching
- · Concept analysis
- · Template-based analysis
- · Abstraction-based analysis

http://ase.csc.ncsu.edu/dmse/miningalgs.html

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How to Do Research in Mining SE Data



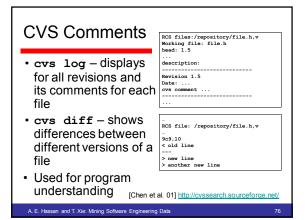
How to do research in mining SE data

- · We discussed results derived from:
 - Historical data:
 - · Source control
 - · Bug databases
 - · Mailing lists
 - Program data:
 - · Program source code
- · Program execution traces
- · We discussed several mining techniques
- · We now discuss how to:
 - Get access to a particular type of SE data
 - Process the SE data for further mining and analysis

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Source Control Repositories

Concurrent Versions System (CVS) Comments denine <u>and ordered an annual information</u> that the end observed both on the manager to the end of a substitution of the contract of the contr of the manufacture of the control of e <u>and a developing a property independent de</u> lader and a diversity of the factorization and a got become sept and with DEC 1.11 mm. on the control of the Johnson <u>18</u> - <u>Josephan - Labour - Indone 2 (the</u> Laboure of Station 2015 2012). Laboure 1 working physical At a code of 1971 (5.31) and [Chen et al. 01] http://cvssearch.sc A. E. Hassan and T. Xie: Mining Software Engineering Data



Code Version Histories

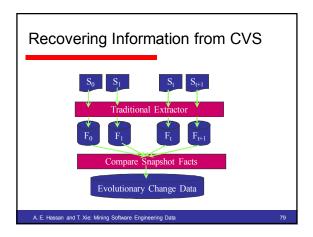
- CVS provides file versioning
 - Group individual per-file changes into individual transactions: checked in by the same author with the same check-in comment within a short time window
- · CVS manages only files and line numbers
 - Associate syntactic entities with line ranges
- · Filter out long transactions not corresponding to meaningful atomic changes
 - E.g., features and bug fixes vs. branch and merging
- Used to mine co-changed entities
 [Hassan& Holt 04, Ying et al. 04]

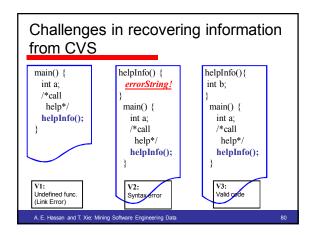
[Zimmermann et al. 04] http://www.st.cs.uni-sb.de/sc

Getting Access to Source Control

- · These tools are commonly used
 - Email: ask for a local copy to avoid taxing the project's servers during your analysis and development
 - CVSup: mirrors a repository if supported by the particular project
 - rsync: a protocol used to mirror data repositories
 - CVSsuck:
 - · Uses the CVS protocol itself to mirror a CVS repository
 - $\mbox{\ }^{\cdot}$ The CVS protocol is not designed for mirroring; therefore, CVSsuck is not efficient
 - · Use as a last resort to acquire a repository due to its inefficiency
 - · Used primarily for dead projects

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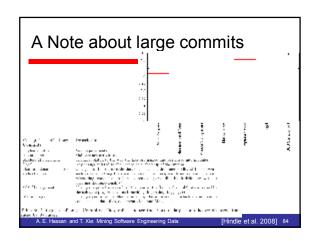
CVS Limitations

- CVS has limited query functionality and is slow
- · CVS does not track co-changes
- CVS tracks only changes at the file level

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Inferring Transactions in CVS Sliding Window: - Time window: [3-5mins on average] • min 3mins • as high as 21 mins for merges • Commit Mails **Time Window: [3-5mins on average] • min 3mins • as high as 21 mins for merges • Commit Mails **Time Window: [3-5mins on average] **Time Window: [3-5mins

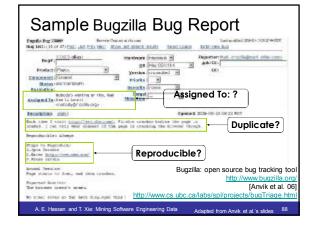
Drop all transactions above a large threshold to the property of th



Noise in detecting developers Few developers are given commit privileges Actual developer is usually mentioned in the change message One must study project commit policies before reaching any conclusions A.E. Hassan and T. Xie. Mining Software Engineering Data [German 2006] 85

Source Control and Bug Repositories





Acquiring Bugzilla data

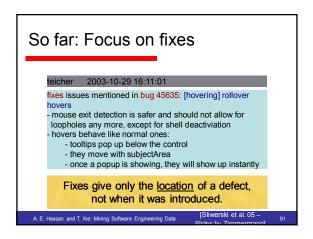
- Download bug reports using the XML export feature (in chunks of 100 reports)
- Download attachments (one request per attachment)
- Download activities for each bug report (one request per bug report)

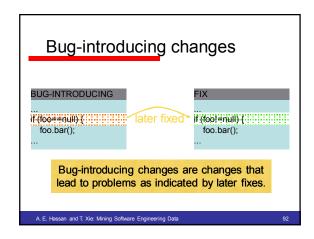
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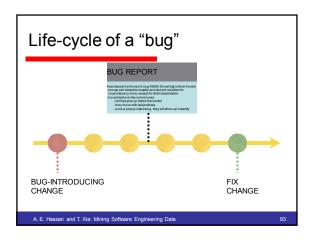
Using Bugzilla Data

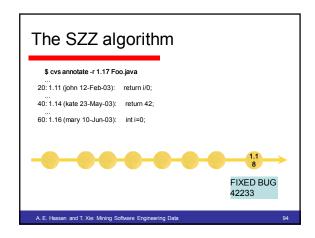
- Depending on the analysis, you might need to rollback the fields of each bug report using the stored changes and activities
- Linking changes to bug reports is more or less straightforward:
 - Any number in a log message could refer to a bug report
 - Usually good to ignore numbers less than 1000. Some issue tracking systems (such as JIRA) have identifiers that are easy to recognize (e.g., JIRA-4223)

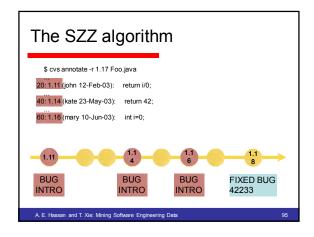
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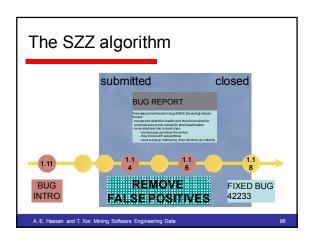












Project Communication - Mailing lists

Acquiring Mailing lists

- Usually archived and available from the project's webpage
- Stored in mbox format:
 - The mbox file format sequentially lists every message of a mail folder

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Challenges using Mailing lists data I

- Unstructured nature of email makes extracting information difficult
 - Written English
- · Multiple email addresses
 - Must resolve emails to individuals
- · Broken discussion threads
 - Many email clients do not include "In-Reply-To" field

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Challenges using Mailing lists data II

- · Country information is not accurate
 - Many sites are hosted in the US:
 - · Yahoo.com.ar is hosted in the US
- Tools to process mailbox files rarely scale to handle such large amount of data (years of mailing list information)
 - Will need to write your own

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Program Source Code

Acquiring Source Code

- Ahead-of-time download directly from code repositories (e.g., Sourceforge.net)
 - Advantage: offline perform slow data processing and mining
 - Some tools (Prospector and Strathcona) focus on framework API code such as Eclipse framework APIs
- On-demand search through code search engines:
 - E.g., http://www.google.com/codesearch
 - Advantage: not limited on a small number of downloaded code repositories

Prospector: http://snobol.cs.berkeley.edu/prospector Strathcona: http://ismr.cs.ucalgary.ca/projects/heuristic

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Processing Source Code

- Use one of various static analysis/compiler tools (McGill Soot, BCEL, Berkeley CIL, GCC, etc.)
- But sometimes downloaded code may not be compliable
 - E.g., use Eclipse JDT http://www.eclipse.org/jdt/ for AST traversal
 - E.g., use exuberant ctags http://ctags.sourceforge.net/ for high-level tagging of code
- · May use simple heuristics/analysis to deal with some language features [Xie&Pei 06, Mandelin et al. 05]
 - Conditional, loops, inter-procedural, downcast, etc.

Program Execution Traces

Acquiring Execution Traces

- · Code instrumentation or VM instrumentation
 - Java: ASM, BCEL, SERP, Soot, Java Debug Interface
 - C/C++/Binary: Valgrind, Fjalar, Dyninst
- See Mike Ernst's ASE 05 tutorial on "Learning from executions: Dynamic analysis for software engineering and program understanding"

http://paq.csail.mit.edu/~mernst/pubs/dynamic-tutorialase2005-abstract.html

More related tools: http://ase.csc.ncsu.edu/tools

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Processing Execution Traces

- · Processing types: online (as data is encountered) vs. offline (write data to file)
- May need to group relevant traces together
 - e.g., based on receiver-object references
 - e.g., based on corresponding method entry/exit
- Debugging traces: view large log/trace files with V-file editor: http://www.fileviewer.com/

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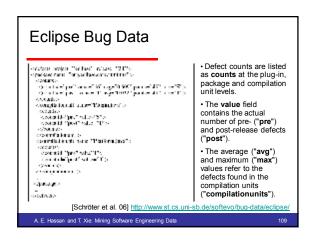
Tools and Repositories

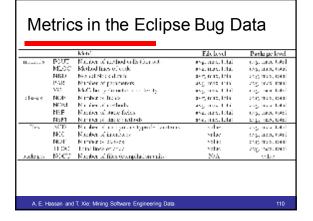
Repositories Available Online

- Promise repository:
- Eclipse bug data:
- .st.cs.uni-sb.de/softevo/bug-data/eclipse/

- http://www.st.cs.uni-sb.de/ibugs/
 MSR Challenge (data for Mozilla & Eclipse):
 - http://msr.uwaterloo.ca/msr2007/challenge
- FLOSSmole:
- Software-artifact infrastructure repository:

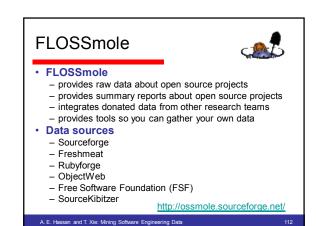
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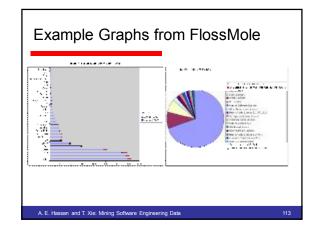


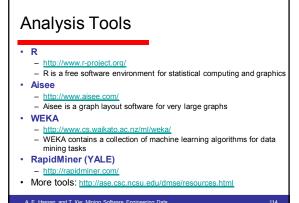


Abstract Syntax Tree Nodes in Eclipse Bug Data • The AST node information can be used to calculate various metrics **The AST node information can be used to calculate various metrics **The AST node information can be used to calculate various metrics **The AST node information can be used to calculate various metrics

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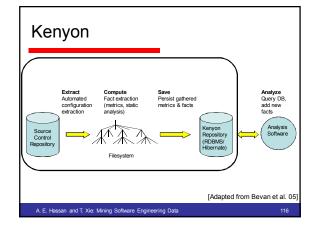




Data Extraction/Processing Tools

- Kenvon
 - http://dforge.cse.ucsc.edu/projects/kenyon/
- · Myln/Mylar (comes with API for Bugzilla and JIRA)
 - http://www.eclipse.org/myln/
- Libresoft toolset
 - Tools (cvsanaly/mlstats/detras) for recovering data from cvs/svn and mailinglists
 - http://forge.morfeo-project.org/projects/libresoft-

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Publishing Advice

- · Report the statistical significance of your results:
 - Get a statistics book (one for social scientist, not for mathematicians)
- · Discuss any limitations of your findings based on the characteristics of the studied repositories:
 - Make sure you manually examine the repositories. Do not fully automate the process!
 - Use random sampling to resolve issues about data noise
- · Relevant conferences/workshops:
 - main SE conferences, ICSM, ISSTA, MSR, WODA, ...

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Mining Software Repositories

- Very active research area in SE:
 - MSR is the most attended ICSE event in last 5 yrs http://msrconf.org
 - Special Issue of IEEE TSE on MSR:
 - 15 % of all submissions of TSE in 2004
 - Fastest review cycle in TSE history: 8 months
 - Special Issue Empirical Software Engineering (late
 - Upcoming Special Issues:
 - Journal of Empirical Software Engineering
 - · Journal of Soft. Maintenance and Evolution
 - IEEE Software (July 1st 2008)

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Q&A

Mining Software Engineering Data Bibliography http://ase.csc.ncsu.edu/dmse/

- •What software engineering tasks can be helped by data mining?
- •What kinds of software engineering data can be mined?
- ·How are data mining techniques used in software engineering?

Example Tools

- MAPO: mining API usages from open source repositories [Xie&Pei 06]
- **DynaMine**: mining *error/usage patterns* from code revision histories [Livshits&Zimmermann 05]
- BugTriage: learning bug assignments from historical bug reports [Anvik et al. 06]

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Demand-Driven Or Not

	Any-gold mining	Demand-driven mining
Examples	DynaMine,	MAPO, BugTriage,
Advantages	Surface up only cases that are applicable	Exploit demands to filter out irrelevant information
Issues	How much gold is good enough given the amount of data to be mined?	How high percentage of cases would work well?

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Code vs. Non-Code Code/ Programming Langs

	Code/	Non-Code/
	Programming Langs	Natural Langs
Examples	MAPO, DynaMine,	BugTriage, CVS/Code comments, emails, docs
Advantages	Relatively stable and consistent representation	Common source of capturing programmers' intentions
Issues		What project/context- specific heuristics to use?

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Static vs. Dynamic

	Static Data: code bases, change histories	Dynamic Data: prog states, structural profiles
Examples	MAPO, DynaMine,	Spec discovery,
Advantages	No need to set up exec environment; More scalable	More-precise info
Issues	How to reduce false positives?	How to reduce false negatives?
		Where tests come from?

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Snapshot vs. Changes

	Code snapshot	Code change history
Examples	MAPO,	DynaMine,
Advantages	Larger amount of available data	Revision transactions encode more-focused entity relationships
Issues		How to group CVS changes into transactions?

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Characteristics in Mining SE Data

- · Improve quality of source data: data preprocessing
 - MAPO: inlining, reduction
 - DynaMine: call association
 - BugTriage: labeling heuristics, inactive-developer removal
- · Reduce uninteresting patterns: pattern postprocessing
 - MAPO: compression, reduction
 - DynaMine: dynamic validation
- · Source data may not be sufficient
 - DynaMine: revision histories
 - BugTriage: historical bug reports

SE-Domain-Specific Heuristics are important

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