Black Box Unit Testing

• Black box method testing
  – Test harnesses
  – Role of code-level specifications (assertions)
  – Automating black box unit testing

• Black box class testing ("interface testing")
  – Class trace specifications
  – Executable assertions
Black Box Unit Testing

• We can use black box testing to test individual units (methods or classes) as we develop them.
• Because this kind of unit testing is black box, we still have the advantage that tests can be created in parallel with coding.
• Moreover, black box unit testing is earlier and more precise than black box system testing.
  – It can find errors very early, even before the entire first version is finished.
Black Box Unit Testing

• To use black box testing on code units, we need to figure out what corresponds to requirements, inputs, and outputs for units.

• Once we know these, test cases can be created according to any of the black box testing criteria:
  – functionality coverage
  – input coverage
  – output coverage
Black Box Testing of Single Methods

• If the unit we are testing is a method (or function or procedure), then:
  – “requirements” = the method’s specification
  – “input” = value of parameters, instance variables, global variables used by the method
  – “output” = return value, changed instance variables, global variables, and thrown exceptions; together these are referred to as the outcome in unit testing
Sidebar: “or function or procedure”

• In most **functional** languages, the **input** to a function consists of
  – the parameters (arguments) of the function
  – the values of any “free variables” mentioned in the function

• In contrast, object-oriented languages always have an implicit “zeroth argument” (**this or self**)
Sidebar: “or function or procedure”

• In pure (= no side effects) functional languages, the outcome of the unit test consists of
  – the return value

• With side effects, we have more outputs to worry about:
  – changed instance variables
  – changed global variables
  – thrown exceptions
  – file I/O, graphics, network connections, ...
Sidebar: “or function or procedure”

• We could argue about whether disallowing side effects is a good choice in general, but it does seem to make unit testing easier!

• Leads to tools like the QuickCheck test case generator (first released in 1999 for Haskell, since ported to other languages)
Black Box Testing of Single Methods

1. Identify the *input*

2. Identify the *output (= outcome)*
Black Box Testing of Single Methods

1. Identify the *input*
   - method parameters
   - instance variables
   - global variables
   - file I/O, standard input, network reads, ...

2. Identify the *output* (= outcome)
   - changed instance variables
   - changed global variables
   - file I/O, standard output, network writes, ...
   - return value *or* thrown exception
Black Box Testing of Single Methods

• For the `push()` method in a `Stack` class implementing stacks of integers
  – `void push (int x)`
    `throws (stackOverflow)`

• The **input** is...

• The **output** is...
Black Box Testing of Single Methods

• For the `push()` method in a `Stack` class implementing stacks of integers
  – `void push (int x)`
    `throws (stackOverflow)`
• The **input** is the current state of the `Stack` object and the parameter value of `x` to push onto it
• The **output** is the new state of the `Stack` object and the exception thrown
• We can then apply, for example, **input partitioning** to create test partitions:
  – P1  Stack empty,  \( x = 1 \)
  – P2  Stack nonempty,  \( x = 1 \)
  – P3  Stack full,  \( x = 1 \)
Executing Unit Tests

• Once we have test cases for a method, we need a way to run them

• A method is not a standalone program, so we need a special standalone program that calls the method: a test harness
Executing Unit Tests

• The test harness sets up an appropriate environment to use the unit and invokes it with test input, checking the test outcome, and reporting any failure

• The set of test cases are programmed in the harness as a sequence of calls or uses of the tested unit with the test inputs, with code to check the outcome after each call
// A test harness to test the push() method
import Stack;
public class TestPush {
    public static void main (String[] args) {
        // Create a Stack object
        Stack s = new Stack();

        // P1 - empty Stack, X=1
        s.Push(1);

        // Check result
        if (s.Depth() != 1 || s.TopValue() != 1) {
            c.println("P1 test failed");
        }
    }
    ...
}
}
Independent Unit Testing

• Factoring Out Unit Dependencies
  – A problem with unit testing is that units are usually interdependent:
    the method we are testing may call other methods or use other classes in the software
  – In the worst case, they all call one another
    • So how can we unit test them individually?
Independent Unit Testing

• **Factoring Out Unit Dependencies**
  – The test harness can provide "**stubs**" for the other units
    • The unit being tested then uses the stub method or class instead of the real thing
  – Stub methods are programmed to give "**typical**" outcomes of the real methods they take the place of, instead of a real outcome
  – This allows us to test independently of the real unit the stub replaces
Independent Unit Testing

// A stub for a random number generator
public int randomInt(int a, int b) {
    return 1;
}
Things Go Better with a Specification

• **Assertions, Please**
  – When black box unit testing, explicit **pre-** and **post-conditions** for the method we are testing help a lot
  – Pre- and post-conditions help in input and output **coverage analysis**, but more importantly, they help in automating the checking of **outcomes**
    • If an outcome meets the post condition, then we can normally accept it as correct
  – If the pre- and post-conditions are accurate enough, we can even **automate** the black box **testing process** to a large extent
Automating Black Box Unit Testing

- Testing Tools
  - Tools such as Jtest and C++test take advantage of explicit pre-, post-, and invariant assertions in code to automatically do naive black box unit testing
  - Given method and class interface specifications explicitly coded as pre-, post-, and invariant assertions written in a special, rich formal assertion notation, these tools can provide several benefits

```java
public int ItemLength (ItemClass item){
  /**
   * @pre item != null
   * @post $result > 0
   */
   ...
```
Automating Black Box Unit Testing

• **Testing Tools**
  – Automatically generate a test harness for the unit
  – Automatically provide stubs for any other units used by the method or class under test
  – Automatically generate some naive input coverage test cases and the harness code to run them
  – Automation is naive, so you can add your own test cases too
Automating Black Box Unit Testing

• Problems with Testing Tools
  – There are some limitations with unit testing tools
    1. Outcomes must be checked by hand (at least on the first run) since the tool cannot tell all of what was intended
      • Assertions alone are usually insufficient
    2. The tool can't provide stubs unless it knows everything that is called or used by the unit under test, that is, unless it already has the code for the unit
      • Hence, not really black box tests
Tuesday, Oct. 10th

• ...ended here
Black Box Class Testing

• Testing a Class Interface
  – Naive black box class testing such as that done by the JTest and C++Test tools simply unit test each method of a class separately
  – In naive class testing, for each method tested,
    • the "input" is the current state of all class, object, and global variables as well as the parameters to the method
    • the "output" is the new state of all class, object, and global variables as well as the result values and exceptions of the method
  – The class specification usually has an invariant assertion that applies to the outcome of every method, as well as the pre- and post- assertions for each method itself
Class Traces

• **Sequences of Method Calls**
  – Even very simple classes cannot be well specified, and hence well unit tested, simply by understanding them as a set of independent methods
  – The *real* specification of a class often needs to reason about interdependent sequences of method calls, not just independent individual calls
    • For example, `stack.pop()` cannot be called before `stack.push()`
  – Assertions are not well suited to specifying this kind of sequential dependency
Trace Specifications

• Specifying Sequences
  – Trace specifications are an explicit method for specifying the behaviour of sequences of method calls
  – Trace specifications use trace expressions to specify the legal sequences of method calls to an object in the class
  – A trace expression is a sequence of method calls with inputs and outcomes explicitly specified in the sequence
Trace Specifications

• Example of Specifying Sequences

\[
\begin{align*}
\text{s.new()}, & \quad \text{s.Empty()} == \text{true} \\
\text{s.new()}, & \quad \text{s.Push}(Y), \quad \text{s.Pop()} == Y, \quad \text{s.Empty()} == \text{true} \\
\text{s.new()}, & \quad \text{s.Push}(Y), \quad \text{TopValue()} == Y, \\
& \quad \text{s.Pop()} == Y, \quad \text{s.Empty()} == \text{true} \\
\text{s.new()}, & \quad \text{s.Push}(Y), \quad \text{s.Push}(Z), \quad \text{s.TopValue()} == Z, \\
& \quad \text{s.Pop()} == Z, \quad \text{s.Pop()} == Y, \quad \text{s.Empty()} == \text{true}
\end{align*}
\]
Black Box Testing
Using Trace Specifications

• Legal and Illegal Traces
  – Trace specifications constrain the behaviour of a class using both:
    • legal traces (sequences that can or must happen), and
    • illegal traces (sequences that must never happen)
  – Trace specifications not only give us the ability to automate creation of the test harness and naive black box test cases, they also make it easy to generate black box test cases for method call sequences as well
Implementing Assertions

• If we use pre-, post-, and invariant assertions to specify our method and class interfaces, it is good practice to actually implement (check) them at run time on each method call.

• Some languages automatically provide assertion checking when the assertions are given as boolean expressions in the language (which may not always be possible).
Implementing Assertions

• Checked assertions help with every kind of systematic testing, not just black box unit testing
• Assertions are checked every time the method or class is used, no matter what kind of testing
  – This finds errors earlier and pinpoints their cause more specifically than simply a bad outcome, because we can see exactly which assertion failed
• It is good practice to always document your specifications, assumptions, and intentions using assertions
  – Helps in finding errors, and helps other programmers to understand your assumptions and intentions
Black Box Integration Testing

• **Testing Subsystems**
  - Black box integration testing applies the same ideas to testing subsystems integrated from smaller units.
  - If we began with black box unit testing, we can smoothly move into black box integration testing by gradually replacing each stub unit in a unit's test harness with the corresponding real unit once each has been independently unit tested.
  - As stubs are replaced and the combined units (subsystems) are re-tested at each integration, we gradually build up to the black box testing of the entire integrated system.
Summary

• Black Box Unit Testing
  – Black box testing can also be applied to unit testing of individual methods and classes
  – Test harnesses are special programs written to exercise individual units under test
  – Assertion and trace specifications provide interface level specifications for black box unit testing

• References
  – Lamb, Software Engineering: Planning for Change, Ch. 13: Trace Specifications

• Next Time: white box testing