

CISC-471 WINTER 2015

ALIGNMENT WITH GAP PENALTIES

As in the text gaps of length x incur a penalty of $\rho + \sigma x$. We maintain 3 $n \times m$ tables S , \rightarrow , and \uparrow .

The tables are initialized as follows:

$$S_{i,0} = -\infty \text{ for } 1 \leq i \leq n; S_{0,j} = -\infty \text{ for } 1 \leq j \leq m; S_{0,0} = 0.$$

$$\uparrow_{0,j} = -\infty \text{ for } 1 \leq j \leq n; \uparrow_{i,0} = -\rho - i\sigma \text{ for } 0 \leq i \leq m.$$

$$\rightarrow_{0,j} = -\rho - j\sigma \text{ for } 0 \leq j \leq n; \rightarrow_{i,0} = -\infty \text{ for } 1 \leq i \leq m.$$

And the recurrence relations are given as

$$\begin{aligned} \uparrow_{i,j} &= \max \begin{cases} \uparrow_{i-1,j} - \sigma & \text{extend gap in } w \\ S_{i-1,j} - (\rho + \sigma) & \text{open gap in } w \end{cases} \\ \rightarrow_{i,j} &= \max \begin{cases} \rightarrow_{i,j-1} - \sigma & \text{extend gap in } v \\ S_{i,j-1} - (\rho + \sigma) & \text{open gap in } v \end{cases} \\ S_{i,j} &= \max \begin{cases} S_{i-1,j-1} + \delta(v_i, w_j) & \text{match or mismatch in } v_i w_j \\ \uparrow_{i,j} & \text{gap in } w \\ \rightarrow_{i,j} & \text{gap in } v \end{cases} \end{aligned}$$

Using $v = \text{AAT}$ and $w = \text{ACACT}$ with values $\rho = 1, \sigma = 3$ and 1 and -1 respectively for a match and mismatch, I obtained the following results.

$$\begin{aligned} S &= \begin{bmatrix} & & A & C & A & C & T \\ & 0 & -\infty & -\infty & -\infty & -\infty & -\infty \\ A & -\infty & 1 & -3 & -4 & -5 & -6 \\ A & -\infty & -3 & 0 & -2 & -5 & -6 \\ T & -\infty & -4 & -4 & -1 & -3 & -4 \end{bmatrix} \\ \uparrow &= \begin{bmatrix} & & A & C & A & C & T \\ & -3 & -\infty & -\infty & -\infty & -\infty & -\infty \\ A & -4 & -\infty & -\infty & -\infty & -\infty & -\infty \\ A & -5 & -3 & -7 & -8 & -9 & -10 \\ T & -6 & -4 & -4 & -6 & -9 & -10 \end{bmatrix} \\ \rightarrow &= \begin{bmatrix} & & A & C & A & C & T \\ & -3 & -4 & -5 & -6 & -7 & -8 \\ A & -\infty & -\infty & -3 & -4 & -5 & -6 \\ A & -\infty & -\infty & -7 & -4 & -5 & -6 \\ T & -\infty & -\infty & -8 & -8 & -5 & -6 \end{bmatrix} \end{aligned}$$