

Unifying Principles of Type Refinements

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Outline

☞ Introduction

- Thesis Statement
- Contributions
- Bi- and Tridirectional Typechecking
- Let-Normal Typechecking
- Implementation
- Related Work
- Summary
- Future Work

Making Software More Reliable

- Many answers...
- Tools (not methods)
 - Static typing to check invariants

Conventional Static Typing

- ML, Haskell, ...
- “Well typed programs don’t go wrong”
- Types express **properties**:
 - $insert : tree * key \rightarrow tree$
 - $map : \forall \alpha, \beta. (\alpha \rightarrow \beta) \rightarrow \alpha \text{ list} \rightarrow \beta \text{ list}$
- Types can be inferred;
type annotations are documentation
(except module interfaces)

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(except module interfaces)
- How to get more expressive power?
 - Extend conventional static typing

Setting

- core Standard ML (approximately):
 - First class functions
 - Primitive types `int`, `real`, `string`, ...
 - Algebraic datatypes

```
datatype list = Nil
```

```
          | Cons of int * list
```

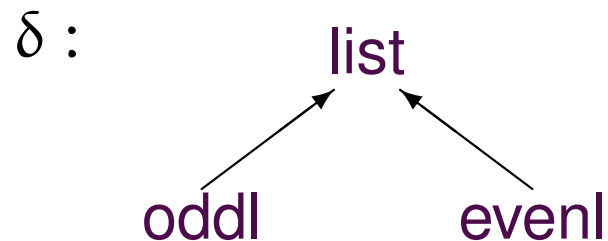
```
datatype tree = Empty
```

```
          | Tree of key * tree * tree
```

Datasort Refinements

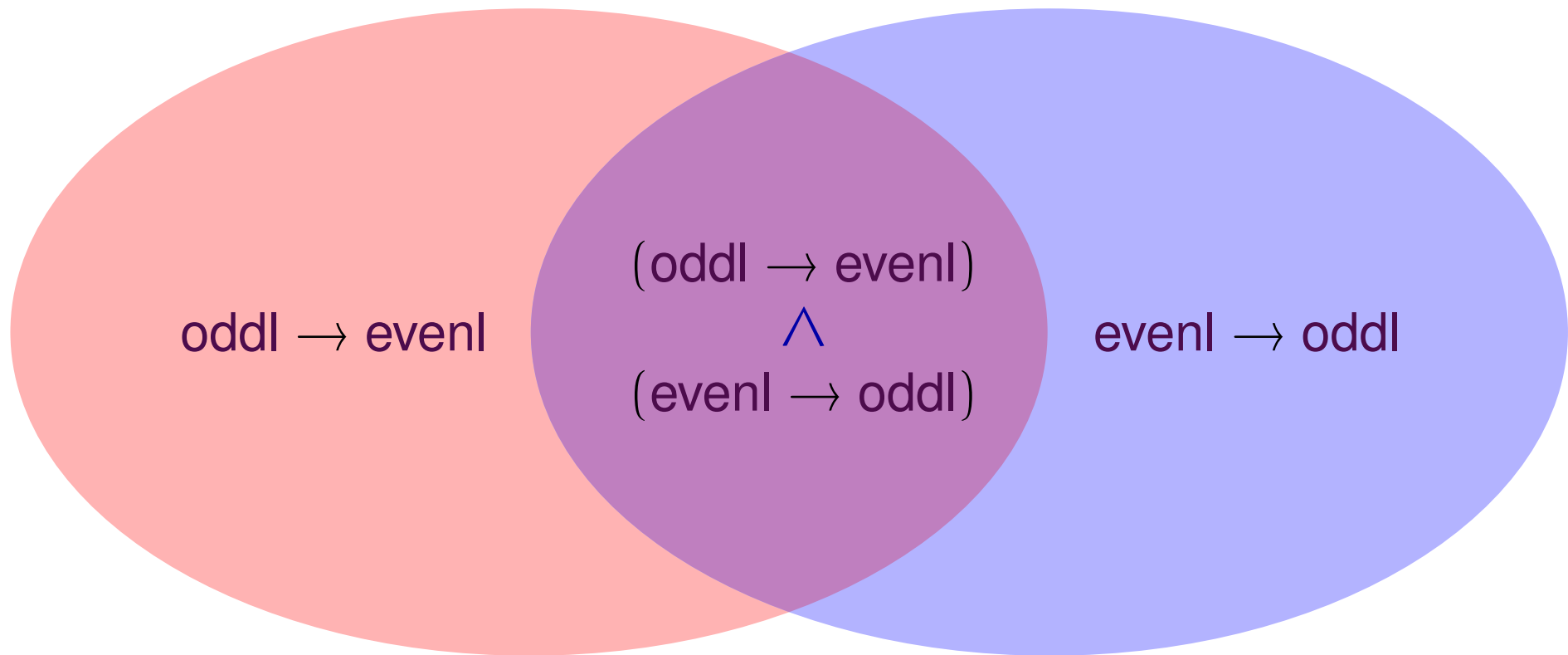
- a.k.a. refinement types [Freeman+Pf. '91, Davies '97,'05]
- Refine an algebraic datatype by a datasort δ
- **Example:** Lists of integers

$\text{Nil} : \text{list}$	$\text{Nil} : \text{evenl}$
$\text{Cons} : \text{int} * \text{list} \rightarrow \text{list}$	$\text{Cons} : (\text{int} * \text{oddl} \rightarrow \text{evenl})$ $\wedge (\text{int} * \text{evenl} \rightarrow \text{oddl})$ $\wedge (\text{int} * \text{list} \rightarrow \text{list})$



- Intersections $v : A \wedge B$: v has type A and type B

Intersection Types ~ Set Intersection



If

$\text{tail} : (\text{oddl} \rightarrow \text{evenl}) \wedge (\text{evenl} \rightarrow \text{oddl})$

$\text{od} : \text{oddl}, \quad \text{ev} : \text{evenl}$

then

$\text{tail}(\text{od}) : \text{evenl}$ and $\text{tail}(\text{ev}) : \text{oddl}$

Datasort Refinements

$tail : (\text{evenl} \rightarrow \text{oddl}) \wedge (\text{oddl} \rightarrow \text{evenl}) \wedge (\text{list} \rightarrow \text{list})$

fun *tail* *xs* =

case *xs* **of** Nil \Rightarrow **raise** *Error*

 | Cons(*h*, *t*) \Rightarrow *t*

- First conjunct ($\text{evenl} \rightarrow \text{oddl}$):
 - Assume $xs : \text{evenl}$
 - Show **raise** *Error* : oddl and $t : \text{oddl}$
- Second conjunct ($\text{oddl} \rightarrow \text{evenl}$):
 - Assume $xs : \text{oddl}$
 - Show $t : \text{evenl}$

Index Refinements

- Dependent types restricted to a decidable constraint domain [Xi & Pfenning '99]
- Refine an algebraic datatype by an index
- Indices drawn from any decidable constraint domain
- **Example:** Lists indexed by their length

$\text{Nil} : \text{list}$

$\text{Nil} : \text{list}(0)$

$\text{Cons} : \text{int} * \text{list} \rightarrow \text{list}$

$\text{Cons} : \prod a:\mathcal{N}. \text{int} * \text{list}(a) \rightarrow \text{list}(a+1)$

$\text{append} : \prod a:\mathcal{N}. \prod b:\mathcal{N}. \text{list}(a) * \text{list}(b) \rightarrow \text{list}(a+b)$

$\text{filter} : (\text{int} \rightarrow \text{bool}) \rightarrow \prod a:\mathcal{N}. \text{list}(a) \rightarrow (\sum b:\mathcal{N}. (b \leq a) \times \text{list}(b))$

- Universal quantifier \prod , existential quantifier \sum

Index Refinements + Union Types

- Unions $v : A \vee B$: v has type A or type B
- Not tagged!
- Choice function:
 $choose : \prod a:\mathcal{N}. \prod b:\mathcal{N}. list(a) * list(b) \rightarrow (list(a) \vee list(b))$

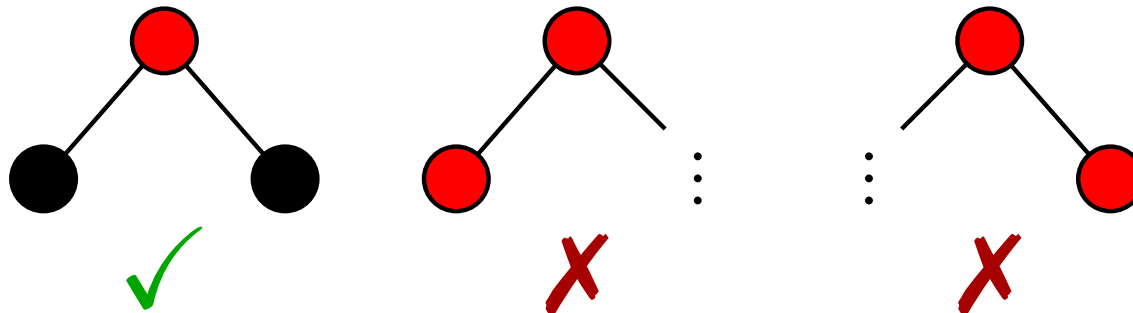
 $fun\ choose\ (xs, ys) =$
 $case\ random_bit()\ of\ true \Rightarrow xs$
 $\quad\quad\quad | false \Rightarrow ys$
- Assume $xs:list(a), ys:list(b)$
- Show body checks against $list(a) \vee list(b)$:
 - Show $xs : list(a) \vee list(b) \Leftarrow xs : list(a)$ ✓
 - Show $ys : list(a) \vee list(b) \Leftarrow$
 $ys : list(a)$ ✗
 $ys : list(b)$ ✓

Red-black trees

```
datatype tree = Empty
  | Red of key * tree * tree
  | Black of key * tree * tree
```

- Invariants:

- (1) Empty nodes are considered black
- (2) The children of a red node must be black



- (3) For every node t , there exists $bh(t)$ s.t. the number of black nodes on **all** paths from t to its leaves is $bh(t)$

Use datasort for invariants (1), (2)

tree

Empty : tree

Red : key * tree * tree \rightarrow tree

Black : key * tree * tree \rightarrow tree

Use datasort for invariants (1), (2)

tree

↑

rbt

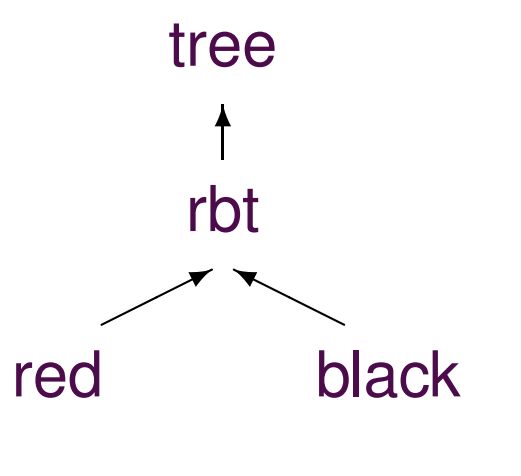
Empty : tree

Red : key * tree * tree → tree

Black : key * tree * tree → tree

- Add **rbt** to represent valid red-black trees

Use datasort for invariants (1), (2)



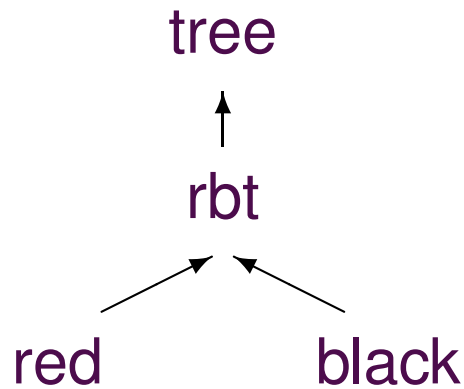
Empty : tree

Red : key * tree * tree \rightarrow tree

Black : key * tree * tree \rightarrow tree

- Add **rbt** to represent valid red-black trees
- Add **red**, **black** to distinguish colors

Use datasort for invariants (1), (2)



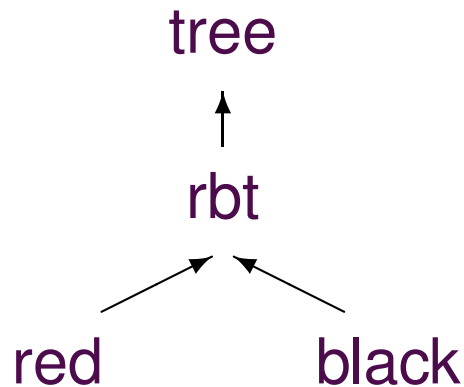
Empty : $\text{tree} \wedge \text{black}$

Red : $\text{key} * \text{tree} * \text{tree} \rightarrow \text{tree}$

Black : $\text{key} * \text{tree} * \text{tree} \rightarrow \text{tree}$

- Add **rbt** to represent valid red-black trees
- Add **red**, **black** to distinguish colors
- Invariant (1): Empty nodes are considered black

Use datasort for invariants (1), (2)



Empty : $\text{tree} \wedge \text{black}$

Red : $\text{key} * \text{tree} * \text{tree} \rightarrow \text{tree}$

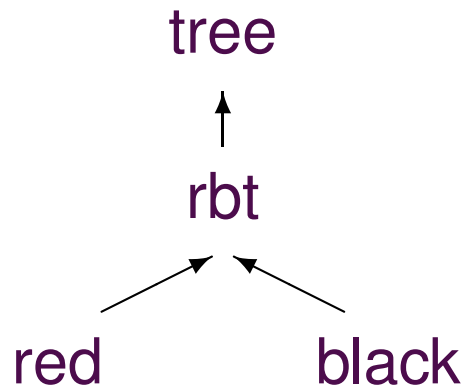
$\wedge \text{key} * \text{black} * \text{black} \rightarrow \text{red}$

Black : $\text{key} * \text{tree} * \text{tree} \rightarrow \text{tree}$

$\wedge \text{key} * \text{rbt} * \text{rbt} \rightarrow \text{black}$

- Add **rbt** to represent valid red-black trees
- Add **red**, **black** to distinguish colors
- Invariant (1): Empty nodes are considered black
- Invariant (2): The children of a red node must be black

Use index for invariant (3)



Empty : black

Red :

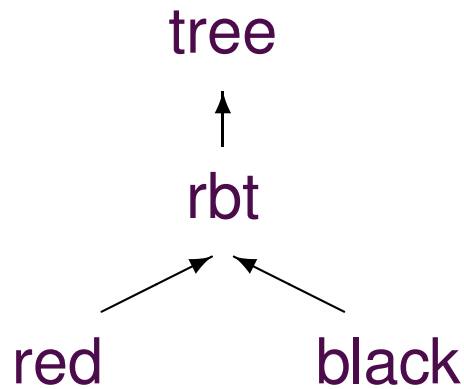
key * tree * tree → tree
 \wedge key * black * black → red

Black :

key * tree * tree → tree
 \wedge key * rbt * rbt → black

- Invariant (3): For every node t , there exists $bh(t)$ s.t. the number of black nodes on **all** paths from t to its leaves is $bh(t)$

Use index for invariant (3)



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Red :

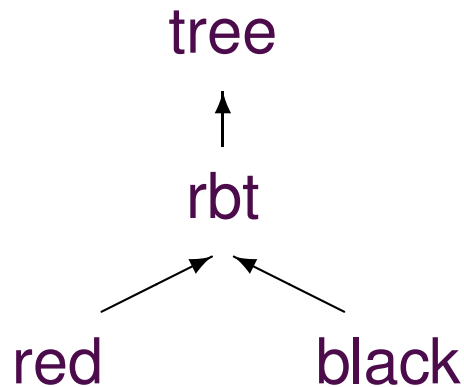
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 \wedge key * black * black → red

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key * tree * tree → tree
 \wedge key * rbt * rbt → black

- Invariant (3): For every node t , there exists $bh(t)$ s.t. the number of black nodes on **all** paths from t to its leaves is $bh(t)$
- Index by the black height $bh(t)$, a natural number

Use index for invariant (3)



Empty : $\text{black}(0)$

Red : $\prod h:\mathcal{N}$.

$\text{key} * \text{tree}(h) * \text{tree}(h) \rightarrow \text{tree}(h)$

$\wedge \text{key} * \text{black}(h) * \text{black}(h) \rightarrow \text{red}(h)$

Black : $\prod h:\mathcal{N}$.

$\text{key} * \text{tree}(h) * \text{tree}(h) \rightarrow \text{tree}(h + 1)$

$\wedge \text{key} * \text{rbt}(h) * \text{rbt}(h) \rightarrow \text{black}(h + 1)$

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✓ Introduction

☞ **Thesis Statement**

- Contributions
- Bi- and Tridirectional Typechecking
- Let-Normal Typechecking
- Implementation
- Related Work
- Summary
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Thesis Statement

A rich type system with datasort and index refinement properties, where such properties are combined through intersection and union types, is a practical means of statically checking interesting properties of functional programs that are difficult or impossible to check in conventional static type systems.

- ✓ Introduction
- ✓ Thesis Statement
- ☞ **Contributions**
 - Bi- and Tridirectional Typechecking
 - Let-Normal Typechecking
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Contributions

- Rich yet practical typechecking:

Ch. 2 Type assignment system

Ch. 3 Tridirectional type system

Ch. 4 Full patterns

Ch. 5 Let-normal type system

Ch. 6, 7 Implementation

- integers
- dimensions

- ✓ Introduction
- ✓ Thesis Statement
- ✓ Contributions
- ☞ **Bi- and Tridirectional Typechecking**
 - Let-Normal Typechecking
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Language

Types $A, B, C ::=$ **unit** | $A \rightarrow B$ | $A * B$ | $\delta(i)$
definite | $A \wedge B$ | $\Pi a:\gamma. A$ | $P \supset A$ | \top
indefinite | $A \vee B$ | $\Sigma a:\gamma. A$ | $P \not\approx A$ | \perp

Terms $e ::=$ x | u | $()$ | $\lambda x. e$ | $e_1(e_2)$ | **fix** $u. e$
| (e_1, e_2) | **fst**(e) | **snd**(e)
| **c**(e) | **case** e **of** ms

cbv Semantics

Values $v ::= x \mid () \mid \lambda x. e \mid (v_1, v_2) \mid c(v)$

Evaluation contexts $\mathcal{E} ::= [] \mid \mathcal{E}(e) \mid v(\mathcal{E})$

$\mid (\mathcal{E}, e) \mid (v, \mathcal{E}) \mid \mathbf{fst}(\mathcal{E}) \mid \mathbf{snd}(\mathcal{E})$

$\mid c(\mathcal{E}) \mid \mathbf{case} \mathcal{E} \text{ of } ms$

$$\frac{e' \mapsto_R e''}{\mathcal{E}[e'] \mapsto \mathcal{E}[e'']}$$

$$(\lambda x. e) v \mapsto_R [v/x] e$$

$$\mathbf{fst}(v_1, v_2) \mapsto_R v_1$$

$$\mathbf{fix} u. e \mapsto_R [\mathbf{fix} u. e / u] e$$

$$\mathbf{snd}(v_1, v_2) \mapsto_R v_2$$

$$\mathbf{case} c(v) \text{ of } \dots c(x) \Rightarrow e \dots \mapsto_R [v/x] e$$

Why Bidirectional Typing?

- Rich language of property types: $\wedge, \Pi, \supset, \top, \vee, \Sigma, \wp, \perp$
- Pure type assignment: undecidable (\wedge, \dots)
- Bidirectional typing (some annotations): **decidable**

Bidirectional Typing

- Bidirectional typing

Synthesis $\Gamma^+ \vdash e^+ \uparrow A^-$

Checking $\Gamma^+ \vdash e^+ \downarrow A^+$

+ input

- output

Bidirectional Typing

- Getting started:

$$\frac{\Gamma(x) = A}{\Gamma \vdash x : A} \text{ var}$$

Bidirectional Typing

- Getting started:

$$\frac{\Gamma(x) = A}{\Gamma \vdash x \uparrow A} \text{ var}$$

Bidirectional Typing: \rightarrow

- **Principle:**
Introduction rules **check**,
elimination rules **synthesize**

$$\frac{\Gamma, x:A \vdash e : B}{\Gamma \vdash \lambda x. e : A \rightarrow B} \rightarrow\text{I}$$

$$\frac{\Gamma \vdash e_1 : A \rightarrow B \quad \Gamma \vdash e_2 : A}{\Gamma \vdash e_1 e_2 : B} \rightarrow\text{E}$$

- e_1 usually a variable or another application

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Change of Direction

- For syntax-directed rules, intro checks, elim synthesizes
- Other rules:

- Subsumption

$$\frac{\Gamma \vdash e \uparrow A' \quad \Gamma \vdash A' \leq A}{\Gamma \vdash e \downarrow A} \text{ sub}$$

- Subtyping

$$\frac{}{\text{unit} \leq \text{unit}} \quad \frac{B_1 \leq A_1 \quad A_2 \leq B_2}{A_1 \rightarrow A_2 \leq B_1 \rightarrow B_2}$$

- Type annotation

$$\frac{\Gamma \vdash e \downarrow A}{\Gamma \vdash (e : A) \uparrow A} \text{ anno}$$

Property Types

- $A \rightarrow B, A * B, \dots$ realized by specific syntactic forms:
 - $A \rightarrow B$ introduced by $\lambda x. e$, eliminated by $e_1 e_2$
 - $A * B$ introduced by (e_1, e_2) , eliminated by **fst** and **snd**
 - $\delta(i)$ introduced by $c(e)$, eliminated by **case e of ms**
- **Property types**
 $A \wedge B$ (intersection), $A \vee B$ (union), ...
not realized by particular syntactic forms

Intersection Types

- Typing:

$$\frac{\Gamma \vdash e : A_1 \wedge A_2}{\Gamma \vdash e : A_1} \wedge E_1 \quad \frac{\Gamma \vdash e : A_1 \wedge A_2}{\Gamma \vdash e : A_2} \wedge E_2$$

$$\frac{\Gamma \vdash v : A_1 \quad \Gamma \vdash v : A_2}{\Gamma \vdash v : A_1 \wedge A_2} \wedge I$$

- Value restriction in $\wedge I$ due to Davies & Pf. '00 (mutable references)
- Again: introduction rules check,
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Intersection Types

- Subtyping:
$$\frac{A \leq B_1 \quad A \leq B_2}{A \leq B_1 \wedge B_2} \wedge_R$$
$$\frac{A_1 \leq B}{A_1 \wedge A_2 \leq B} \wedge_{L_1} \quad \frac{A_2 \leq B}{A_1 \wedge A_2 \leq B} \wedge_{L_2}$$

- Distributivity?

$$\overline{(A \rightarrow B) \wedge (A \rightarrow B') \leq A \rightarrow (B \wedge B')}$$

- Unsound with mutable references [Davies & Pf. '00]

Union Types $A \vee B$

- Subtyping dual to \wedge
- Introduction rules

$$\frac{\Gamma \vdash e : A}{\Gamma \vdash e : A \vee B} \vee I_1 \qquad \frac{\Gamma \vdash e : B}{\Gamma \vdash e : A \vee B} \vee I_2$$

- Elimination rule: **contextual rule** $\mathcal{E}[e']$

$$\frac{\Gamma \vdash e' : A \vee B \quad \Gamma, x:A \vdash \mathcal{E}[x] : C \quad \Gamma, x:B \vdash \mathcal{E}[x] : C}{\Gamma \vdash \mathcal{E}[e'] : C} \vee E$$

- Several alternative elim rules are unsound (Ch. 2)

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- Introduction rules

$$\frac{\Gamma \vdash e \downarrow A}{\Gamma \vdash e \downarrow A \vee B} \vee I_1 \qquad \frac{\Gamma \vdash e \downarrow B}{\Gamma \vdash e \downarrow A \vee B} \vee I_2$$

- Elimination rule: **contextual rule** $\mathcal{E}[e']$

$$\frac{\Gamma \vdash e' \uparrow A \vee B \quad \begin{array}{l} \Gamma, x:A \vdash \mathcal{E}[x] \downarrow C \\ \Gamma, x:B \vdash \mathcal{E}[x] \downarrow C \end{array}}{\Gamma \vdash \mathcal{E}[e'] : C} \vee E$$

- Several alternative elim rules are unsound (Ch. 2)

Union Types $A \vee B$

- Subtyping dual to \wedge
- Introduction rules

$$\frac{\Gamma \vdash e \downarrow A}{\Gamma \vdash e \downarrow A \vee B} \vee I_1 \qquad \frac{\Gamma \vdash e \downarrow B}{\Gamma \vdash e \downarrow A \vee B} \vee I_2$$

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- Several alternative elim rules are unsound (Ch. 2)

More Contextual Rules

- Existential index quantifier $\Sigma a:\gamma. A$

$$\frac{\Gamma \vdash e' \uparrow \Sigma a:\gamma. A \quad \Gamma, a:\gamma, x:A \vdash \mathcal{E}[x] \downarrow C}{\Gamma \vdash \mathcal{E}[e'] \downarrow C} \Sigma E$$

- Empty type \perp

$$\frac{\Gamma \vdash e' \uparrow \perp}{\Gamma \vdash \mathcal{E}[e'] \downarrow C} \perp E$$

- Common structure of $\forall E$, ΣE , $\perp E$

$$\frac{\Gamma \vdash e' \uparrow \text{indefinite type} \quad \dots n \text{ premises} \dots}{\Gamma \vdash \mathcal{E}[e'] \downarrow C}$$

The Tridirectional Rule

- Common structure of $\forall E$, ΣE , $\perp E$

$$\frac{\Gamma \vdash e' \uparrow \text{indefinite type} \quad \dots n \text{ premises} \dots}{\Gamma \vdash \mathcal{E}[e'] \downarrow C}$$

- Tridirectional rule

$$\frac{\Gamma \vdash e' \uparrow A \quad \Gamma, x:A \vdash \mathcal{E}[x] \downarrow C}{\Gamma \vdash \mathcal{E}[e'] \downarrow C} \text{ direct}$$

- Not admissible due to evaluation context restriction

Contextual Typing Annotations

$$(e : (\Gamma_1 \vdash A_1, \dots, \Gamma_n \vdash A_n))$$

- With \wedge and Π ,
the form $(e : A)$ is insufficient
- List of typings $\Gamma_k \vdash A_k$
- Choose typing $\Gamma_k \vdash A_k$ if Γ supports Γ_k
- Safe under α -conversion

- Example:

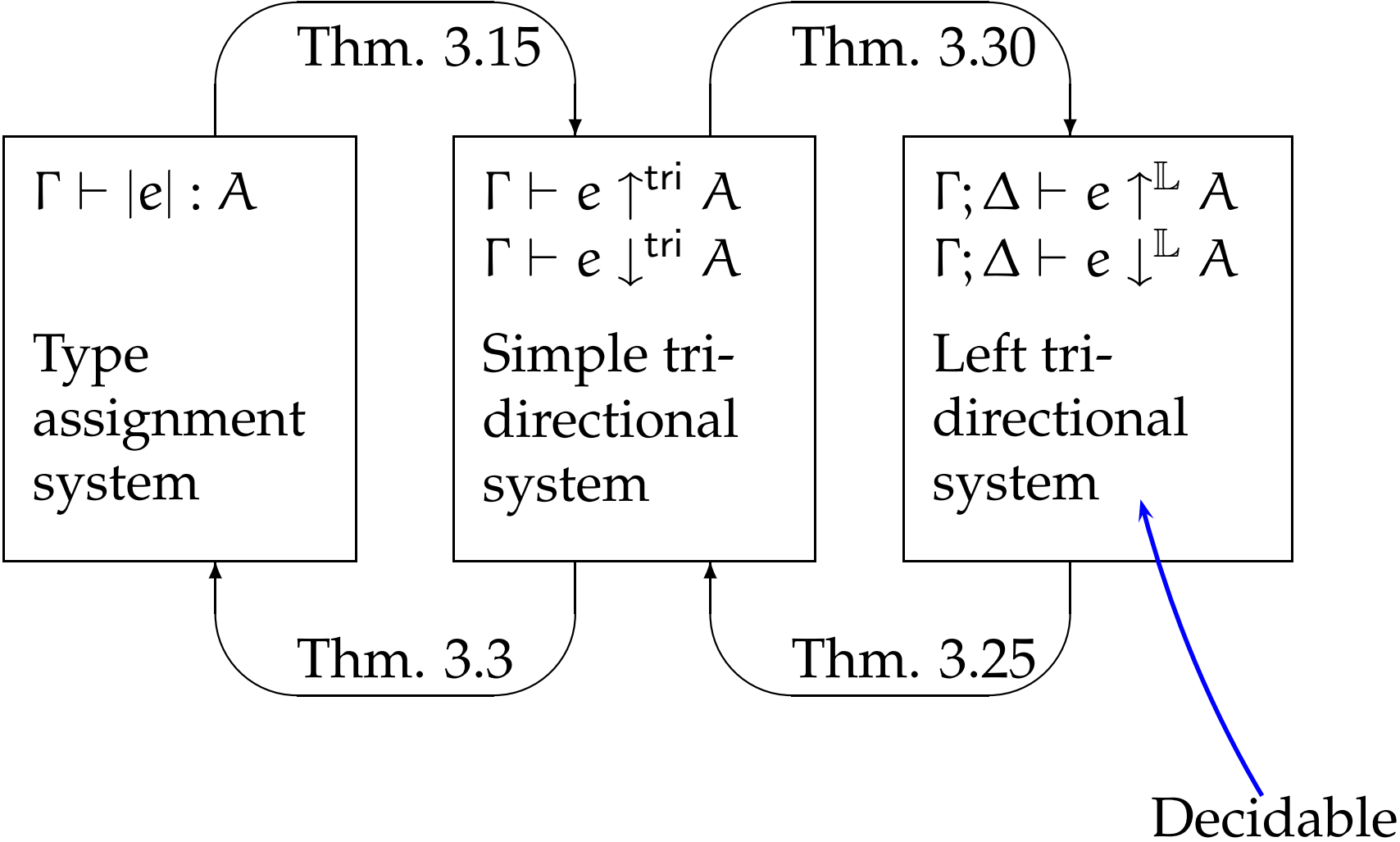
$$\begin{aligned} ((\lambda y. \text{Cons}(42, x)) : x:\text{evenl} \vdash \text{unit} \rightarrow \text{oddl}, \\ x:\text{oddl} \vdash \text{unit} \rightarrow \text{evenl}) \end{aligned}$$

- If typechecking under $\dots, x:\text{evenl}$, use 1st typing
- If typechecking under $\dots, x:\text{oddl}$, use 2nd typing
- (This will make let-normal typechecking interesting...)

Theorems

- **Soundness:** If $\vdash e \downarrow A$ or $\vdash e \uparrow A$ then $\vdash |e| : A$.
- **Completeness:** If $\vdash e : A$ then $\vdash e' \downarrow A$ where e' is an annotated version of e .
- **Preservation + Progress (cbv):** Follows from result for type assignment system (Ch. 2)
- **Decidability of Typechecking:** Not immediate (can repeatedly apply direct); shown via left-rule system (inspired by [Barbanera et al. '95])

Bird's-Eye View



Tridirectional Typechecking: Summary

- To express properties of algebraic datatypes:
 - Datasort refinements
 - Index refinements
- To combine properties: $\wedge, \vee, \Pi, \Sigma$
- Bidirectional typechecking
+ Elim rules for \vee, Σ, \wp, \perp on evaluation contexts
= **Tridirectional typechecking**
- Contextual typing annotations
- Preservation + Progress
- Decidable

- ✓ Introduction
- ✓ Thesis Statement
- ✓ Contributions
- ✓ Bi- and Tridirectional Typechecking
- ☞ **Let-Normal Typechecking**
 - Implementation
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Restricting Tridirectionality

- Rules like direct are impractical:
Must guess which eval. context

$$\frac{\Gamma \vdash e' \uparrow A \quad \Gamma, x:A \vdash \mathcal{E}[x] \downarrow C}{\Gamma \vdash \mathcal{E}[e'] \downarrow C} \text{ direct}$$

- For example, $f(x, y)$ can be decomposed 4 ways:

$$\mathcal{E}_1 = [] (x, y) \quad \mathbf{f} (x, y) = \mathcal{E}_1[f] \quad \text{Analyze } f$$

$$\mathcal{E}_2 = f([], y) \quad f(\mathbf{x}, y) = \mathcal{E}_2[x] \quad \text{Analyze } x$$

$$\mathcal{E}_3 = f(x, []) \quad f(x, \mathbf{y}) = \mathcal{E}_3[y] \quad \text{Analyze } y$$

$$\mathcal{E}_4 = [] \quad \mathbf{f(x, y)} = \mathcal{E}_4[f(x, y)] \quad \text{Analyze entire term}$$

$$\mathbf{\times} \mathcal{E}_x = f[] \quad f(\mathbf{x, y}) = \mathcal{E}_x[(x, y)] \quad \text{No: } (x, y) \nrightarrow$$

- Let-normal system: analyze $f, x, y, f(x, y)$

Let-Normal Form: Related Work

- [Moggi88]
- Compilation, program analysis (“2/3 CPS”) [many]
- Xi used let-normal form (for Σ),
but typechecking was incomplete:

$$\begin{aligned} \text{map } (\lambda x. e) &\quad \hookrightarrow_{\text{xi}} \quad \mathbf{let } \bar{x} = \text{map } \mathbf{in} \\ &\quad \mathbf{let } \bar{y} = \lambda x. e \mathbf{ in} \\ &\quad \dots \end{aligned}$$

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$$\begin{aligned} \text{map } (\lambda x. e) &\quad \hookrightarrow_{\chi_i} \quad \text{let } \bar{x} = \text{map in} \\ &\quad \quad \quad \text{let } \bar{y} = \lambda x. e \text{ in} \\ &\quad \quad \quad \dots \end{aligned}$$
$$\begin{aligned} \text{map } (\text{case } \dots \text{ of } \dots) &\quad \hookrightarrow_{\chi_i} \quad \text{let } \bar{x} = \text{map in} \\ &\quad \quad \quad \text{let } \bar{y} = \text{case } \dots \text{ of } \dots \text{ in} \\ &\quad \quad \quad \dots \end{aligned}$$

- Our form is peculiar, e.g. $\text{let } \bar{x} = x$
 - ...and complete

Let-Normal Typechecking

- Translate, naming every synthesizing subterm in order

$$\begin{aligned} f(x, y) &\hookrightarrow \text{let } \bar{f} = f \text{ in} \\ &\quad \text{let } \bar{x} = x \text{ in} \\ &\quad \quad \text{let } \bar{y} = y \text{ in} \\ &\quad \quad \quad \text{let } \bar{z} = \bar{f}(\bar{x}, \bar{y}) \text{ in } \bar{z} \end{aligned}$$

- Typecheck with this rule instead of direct:

$$\frac{\Gamma \vdash e' \uparrow A \quad \Gamma, \bar{x}:A \vdash e \downarrow C}{\Gamma \vdash \text{let } \bar{x} = e' \text{ in } e \downarrow C} \text{let}$$

- Translation + let \simeq **Restricted strategy** for direct

Let-Normal Soundness

Original program $e \quad \hookrightarrow \quad$ Let-normal version e'

- If e' is well typed in the let-system
then e is well typed in the (left) tridirectional system
- Not too difficult:
Let-normal system is a restricted strategy for applying direct;
any restricted strategy should be **sound**...

Let-Normal Completeness

Original program $e \quad \hookrightarrow \quad$ Let-normal version e'

- If e is well typed in the (left) tridirectional system **then** e' is well typed in the let-system
- Difficult!
Let-normal system is a restricted strategy for applying direct;
is this restricted strategy **complete**?

Seek Refreshment

at the Proof Bar:



Proving Completeness

$\mathcal{D} :: \Gamma; \Delta \vdash e \downarrow^{\mathbb{L}} C$

- Add **lets** corresponding to the places direct was used in \mathcal{D} , yielding e_1
- This is (almost certainly) not the real translation e' (as in $e \hookrightarrow e'$)
- But it's a start: easy to get $\mathcal{D}_1 :: \Gamma; \Delta \vdash e_1 \downarrow^{\text{let}} C$

Little By Little

$\mathcal{D}_1 :: \Gamma; \Delta \vdash e_1 \downarrow C$

- **Letification:**
 - Add “useless” **lets** for **all** synthesizing terms (except $(v : As)$) yielding e_2

Little By Little

$\mathcal{D}_1 :: \Gamma; \Delta \vdash e_1 \downarrow C$

- **Letification:**
 - Add “useless” **lets** for **all** synthesizing terms (except $(v : As)$) yielding e_2
- **Slackening:**
 - Add **let~s** for all non-principal synth. values $(v : As)$, yielding e_3

Little By Little

$\mathcal{D}_1 :: \Gamma; \Delta \vdash e_1 \downarrow C$

- **Letification:**
 - Add “useless” **lets** for **all** synthesizing terms (except $(v : As)$) yielding e_2
- **Slackening:**
 - Add **let~s** for all non-principal synth. values $(v : As)$, yielding e_3
- Now e_3 has all the **lets** that e' does, just not in the right places

Little By Little

$\mathcal{D}_3 :: \Gamma; \Delta \vdash e_3 \downarrow C$

- **Letification** got us from e_1 to e_2
- **Slackening** got us from e_2 to e_3
- **Permutation:**

$\Gamma; \Delta \vdash ((\), \mathbf{let} \bar{y} = y \mathbf{in} \bar{y}) \downarrow \mathbf{unit} * B$

The $\bar{y} = y$ is there, but in the wrong place

$(x, y) \hookrightarrow \mathbf{let} \bar{y} = y \mathbf{in} ((\), \bar{y})$

So we **permute** it to its canonical position:

$\Gamma; \Delta \vdash \mathbf{let} \bar{y} = y \mathbf{in} ((\), \bar{y}) \downarrow \mathbf{unit} * B$

Little By Little

$$e \hookrightarrow e'$$

$$\mathcal{D}_4 :: \Gamma; \Delta \vdash e_4 \downarrow C$$

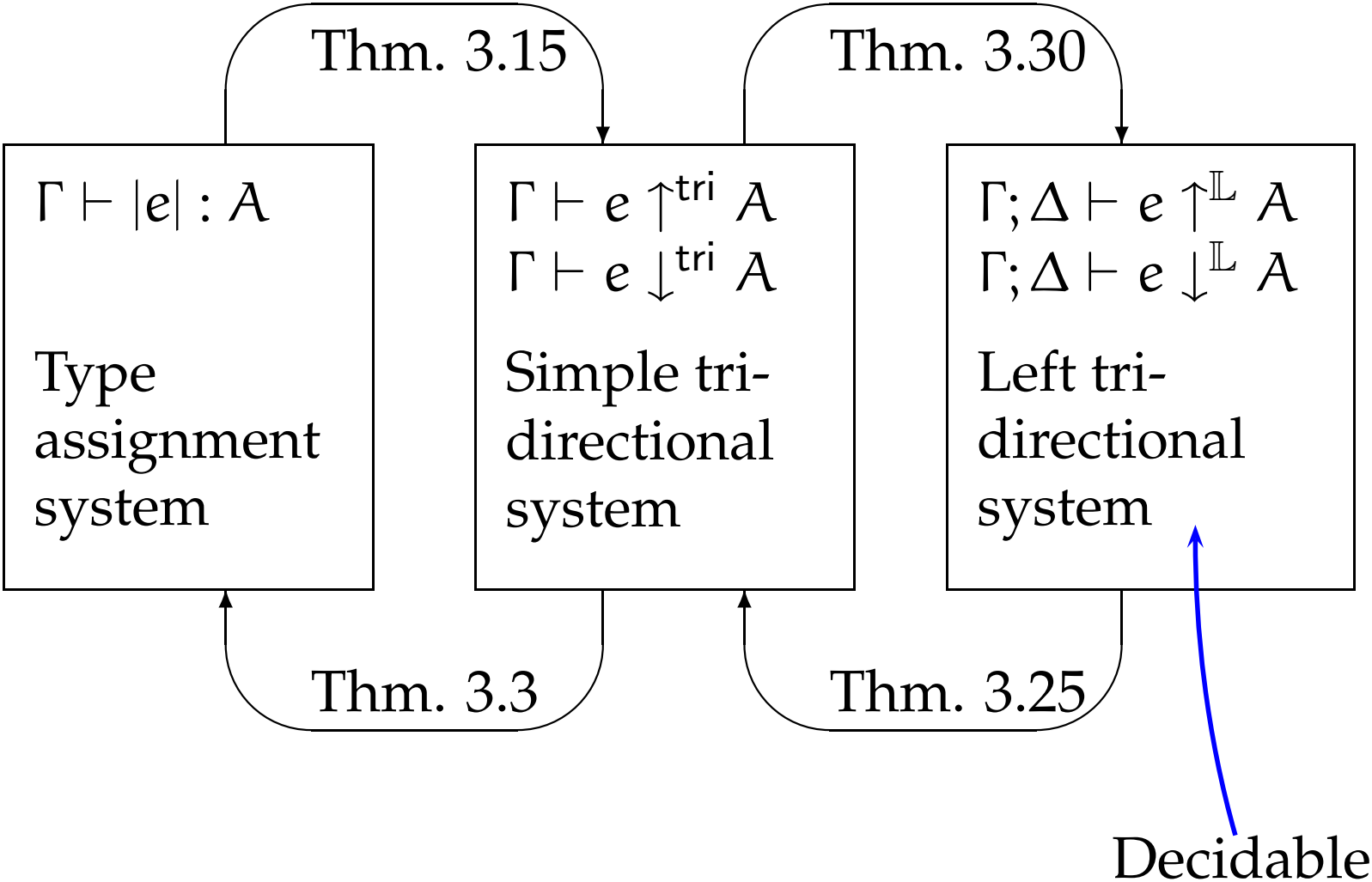
- **Letification** got us from e_1 to e_2
- **Slackening** got us from e_2 to e_3
- **Permutation** got us from e_3 to e_4

$$e_4 = e'$$

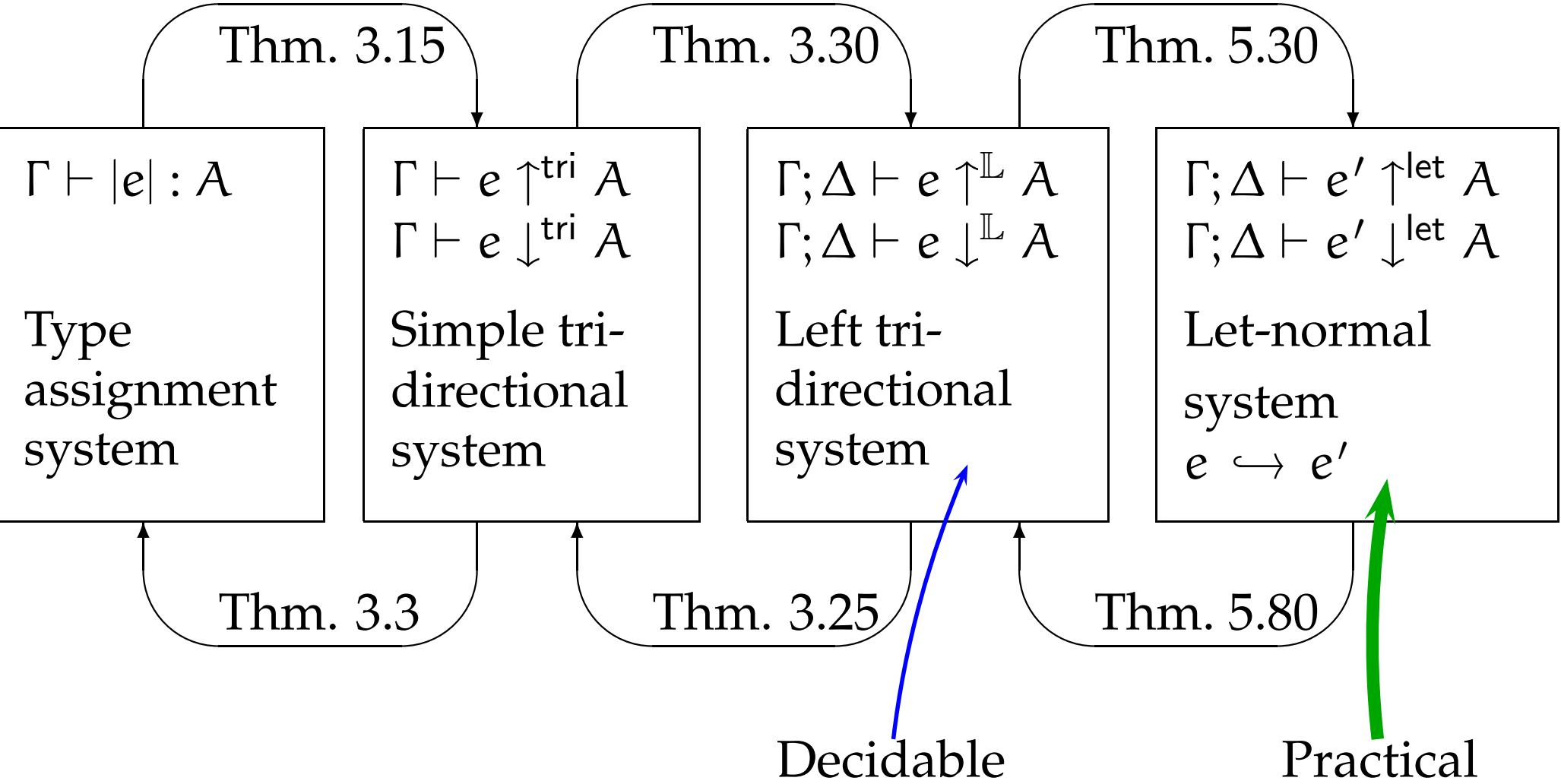
Above process must be done piecemeal, e.g.:

$$\frac{\Gamma; \Delta \vdash e \downarrow A \quad \Gamma; \Delta \vdash e \downarrow B}{\Gamma; \Delta \vdash e \downarrow A \wedge B} \wedge I$$

Bird's-Eye View, Revisited



Bird's-Eye View, Letified



- ✓ Introduction
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- ☞ **Implementation**
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Implementation: Stardust

- subset of core Standard ML
 - ✗ syntactic sugar
 - ✓ patterns, e.g.
Black(e, Red lt, Red (rt as (_,_,Red _)))

Index Domains

- Integers \mathcal{Z}
 - linear inequalities
- Booleans bool
- Dimensions dim

Integers: Red-Black Trees

- Verify invariants (1)–(3) for insertion
- Deletion: SML/NJ library
 - Couldn't find right refined types, but still found a bug (violated color invariant)
 - Such a bug is very unlikely to be found by testing

Dimension Index Sort dim

- Units M, S, KG
- Multiplication and powers:

$$M * KG \quad M^2 \quad S^a$$

- Multiplicative identity 1
- **real** indexed by dim

Dimensions Example

```
(*[ val power : -all d:dim- -all n:int-  
      int(n) → real(d) → real(d^n)  
]*)  
fun power n x =  
  if n = 0 then  
    1.0  
  else if n < 0 then  
    1.0 / power (~n) x  
  else  
    x * power (n-1) x
```

Dimensions: Invaluable Refinement

Unlike e.g. red-black trees,
our dimension refinements are **not** tied to values:

- For every d , each type `real(d)` is inhabited by exactly the same set of floating-point values:

$$3.1 \downarrow \text{real}(1)$$

$$3.1 * M \downarrow \text{real}(M)$$

$$3.1 * S \downarrow \text{real}(S)$$

$$3.1 * M * M \downarrow \text{real}(M^2)$$

All these expressions yield identical values at runtime!

Dimensions: Related Work

- '70s and '80s
- Kennedy '96: Polymorphism, type inference
- Allen '04: abelian classes in Java

Constraint Solving

- Delegate most work to external tools:
ICS or CVC Lite
 - Accumulate constraints incrementally
- Solve for existential index variables
- Reduce dimension constraints to integer constraints

(Almost) Ideal Constraint Solver Interface

1. Persistent solver contexts Ω encapsulating $\bar{\Gamma}$
2. `ASSERT(Ω, P)` returning
 - Valid if $\Omega \models P$
 - Invalid if $\Omega, P \models \perp$
 - Contingent(Ω') if $\Omega \not\models P$ and $\Omega, P \not\models \perp$,
yielding a new context $\Omega' = \Omega, P$
3. `VALID(Ω, P)` returning
 - Valid if $\Omega \models P$
 - Invalid otherwise.
4. `DECLARE(Ω, α, γ)`

ICS

- Developed at SRI (de Moura, Rueß, Shankar, ...)
- Integers (almost), rationals, bitvectors (not really), functional arrays, ...
- Provides the desired interface
- Fast
- **Future:**
 - Closed source
 - Deprecated, replaced by Yices (hilarious licensing)
 - ICS a great improvement on its successor?

ICS

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- Integers (almost), rationals, bitvectors (not really), functional arrays, ...
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except when it hangs
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CVC Lite

- Barrett, Berezin, [Dill], [Tinelli], ...
- Integers, rationals, bitvectors, functional arrays, ...
- Does not provide persistent contexts
- Slower than ICS, but more stable
- **Future:**
 - Deprecated, replaced by CVC 3
 - Open source

Typechecking Time

Input program		Wall-clock seconds		
		ICS	CVC Lite library	stand- alone
redblack	$\delta(i) \wedge$	< 1	< 1	1.2
redblack-full	$\delta(i) \wedge$	3.5	10.0	15.2
redblack-full-bug1	$\delta(i) \wedge$	2.9	8.6	13.3
refine	$\delta(i) \wedge$	< 1	< 1	< 1
bits	$\delta(i) \wedge \vee$	*	10.8	6.9
bits-un	$\delta(i) \wedge \vee$	61.9	*	*
others	$\delta(i)$	< 1	< 1	< 1

Subformula property \implies Don't pay for what you don't use

Memoization

- Xi's DML:
index refinements
No $\wedge \vee$:
 don't need to memoize
- Davies' SML-CIDRE:
datasort refinements, \wedge
No index refinements—no Σ :
 memoization easy
- Stardust:
datasort + index, $\wedge \vee$
 memoization ineffective
 - might work with sophisticated irrelevance

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Related Work

- [Davies '97/'05, Davies & Pf. '00]: Bidirectional system with δ , \wedge
- [Xi & Pfenning '99]: Bidirectional system with i , Π , Σ
- [Coppo et al. '81]: \wedge can characterize normal forms (termination); hence full inference undecidable
- [Reynolds '96]: FORSYTHE with \wedge (and type annotations)
- [Pierce '91]: Language with \wedge , \vee , syntactic markers
- Dependent types ((less) restricted): Cayenne, Epigram, [Chen & Xi '05], [Licata & Harper '05], ...

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Summary of Contributions

- Type system
 - Combination of datasort and index refinements, marker-free intersections, unions, quantifiers
 - Value restriction on $\top I, \wedge I, \prod I$
Evaluation context restriction on $\perp E, \vee E, \Sigma E$
 - Complete annotation mechanism
- Data structure refinements, e.g. bitstrings, red-black trees
- Invaluable refinements, e.g. dimensions
- Bidirectional \leftrightarrow Tridirectional \leftrightarrow Let-Normal
 - + ML patterns (Ch. 4)
- Proof-of-concept typechecker

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Future Work

- Parametric polymorphism
- Index domains: bit vectors, inductive families, finite sets, regular languages, ...
- Memoization and parallelization
- Refinement-based compilation
- Call-by-name (and -need)
- Derivation generation
- Counterexample
- Suggestion tools

Thesis Statement

A rich type system with datasort and index refinement properties, where such properties are combined through intersection and union types, is a practical means of statically checking interesting properties of functional programs that are difficult or impossible to check in conventional static type systems.

Acknowledgments



- Håkan, Mukesh, Jonathan, Maverick, Kate, Urs, Margaret, Aleksey, Neel, Noam, Tim, ...
- My committee
- “I have discovered many wonderful people, which this slide is too small to contain”
- My transit systems, and my bikes:



(R.I.P.)

Extra slides

Bidirectional Typing: *

- **Principle:**

Introduction rules **check**,
elimination rules **synthesize**

$$\frac{\Gamma \vdash e_1 : A \quad \Gamma \vdash e_2 : B}{\Gamma \vdash (e_1, e_2) : A * B} *I$$

$$\frac{\Gamma \vdash e : A * B}{\Gamma \vdash \mathbf{fst}(e) : A} *E_1 \quad \frac{\Gamma \vdash e : A * B}{\Gamma \vdash \mathbf{snd}(e) : B} *E_2$$

- Subtyping:

$$\frac{A_1 \leq B_1 \quad A_2 \leq B_2}{A_1 * A_2 \leq B_1 * B_2}$$

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Type Annotations

- Usual annotation form $(e : A)$ not adequate:
 - Intersection types
 - Annotate with several types $(e : A_1, \dots, A_n)$
[Pierce '91, Reynolds '96]
 - Typechecker guesses which type to use
 - Index variables
 - Scoping issues (α -conversion fails)

Index Variable Scoping

- $(\lambda x. (\underbrace{\lambda z. x}_{\text{Requires annotation}})()) : \prod a:\mathcal{N}. \text{list}(a) \rightarrow \text{list}(a)$

Requires
annotation

- What annotation?

- $((\lambda z. x) : \text{unit} \rightarrow \text{list}(a))$

- $\prod a:\mathcal{N}. \underbrace{\text{list}(a) \rightarrow \text{list}(a)}_{\text{Natural scope of } a}$

- α -converting should change nothing:

$(\lambda z. x : \text{unit} \rightarrow \text{list}(a)) \cdots : \prod b:\mathcal{N}. \text{list}(b) \rightarrow \text{list}(b)$

...but $\text{list}(a)$ is now meaningless

Index Variable Scoping

- Term-level binder $\Lambda a:\gamma. e$ for Π fails with intersections:

$rev : (\Pi a:\mathcal{N}. list(a) \rightarrow list(a))$ needs one Λ

$\wedge ((\Sigma b:\mathcal{N}. list(b)) \rightarrow \Sigma c:\mathcal{N}. list(c))$ needs no Λ s

Great Collapsing “Theorem” Disaster of 2004

$$\frac{
 \frac{
 \frac{v_1 \uparrow A_1 \rightarrow B \quad \vdots}{\dots; \bar{y}: A_1 \vdash v_1 \bar{y} \downarrow B} \rightarrow E \quad
 \frac{v_1 \uparrow A_2 \rightarrow B \quad \vdots}{\dots; \bar{y}: A_2 \vdash v_1 \bar{y} \downarrow B} \rightarrow E
 }{\dots; \bar{y}: A_1 \vee A_2 \vdash v_1 \bar{y} \downarrow B} \vee \mathbb{L}
 }{y \uparrow A_1 \vee A_2}
 }{y : A_1 \vee A_2; \cdot \vdash v_1 y \downarrow B} \text{direct} \mathbb{L}$$

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$$\frac{
 \frac{
 \frac{v_1 \uparrow A_1 \rightarrow B \quad \vdots}{\dots; \bar{y}: A_1 \vdash v_1 \bar{y} \downarrow B} \rightarrow E
 \quad
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$$v_1 = \left((\lambda z. e) : (\vdash A_1 \rightarrow B), (\vdash A_2 \rightarrow B) \right) \text{ and } e \hookrightarrow e'.$$

$$v_1 y \quad \hookrightarrow \quad \mathbf{let \bar{x} = \lambda z. e' : (\vdash A_1 \rightarrow B), (\vdash A_2 \rightarrow B) \mathbf{in}} \\
 \mathbf{let \bar{y} = y \mathbf{in let \bar{a} = \bar{x} \bar{y} \mathbf{in} \bar{a}}$$

Great Collapsing “Theorem” Disaster of 2004

$$\frac{\frac{\frac{v_1 \uparrow A_1 \rightarrow B \quad \vdots}{\dots; \bar{y}: A_1 \vdash v_1 \bar{y} \downarrow B} \rightarrow E}{\dots; \bar{y}: A_2 \vdash v_1 \bar{y} \downarrow B} \rightarrow E}{\dots; \bar{y}: A_1 \vee A_2 \vdash v_1 \bar{y} \downarrow B} \vee \mathbb{L}}{\frac{y \uparrow A_1 \vee A_2}{y : A_1 \vee A_2; \cdot \vdash v_1 y \downarrow B} \text{direct}\mathbb{L}}$$

$$v_1 = \left((\lambda z. e) : (\vdash A_1 \rightarrow B), (\vdash A_2 \rightarrow B) \right) \text{ and } e \hookrightarrow e'.$$

$$v_1 y \hookrightarrow \text{let } \bar{x} = \lambda z. e' : (\vdash A_1 \rightarrow B), (\vdash A_2 \rightarrow B) \text{ in} \\ \text{let } \bar{y} = y \text{ in let } \bar{a} = \bar{x} \bar{y} \text{ in } \bar{a}$$

$$\frac{\dots; \cdot \vdash v_1 \uparrow (A_{???} \rightarrow B) \quad \dots; \bar{x}: (A_{???} \rightarrow B) \vdash \text{let } \bar{y} = y \text{ in } \dots \downarrow B}{\dots; \bar{x}: (A_{???} \rightarrow B) \vdash \text{let } \bar{y} = y \text{ in } \dots \downarrow B} \vee \mathbb{L} \text{ let}$$

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\vdots
 $\vee\mathbb{L}$
 \vdots

No best type for v_1 : Completeness fails

Great Collapsing “Theorem” Disaster of 2004

Need **principal synthesis** for let-bound synthesizing **values**.

Principal synthesis: If $v \uparrow C_1$ and $v \uparrow C_2$
then there exists C s.t.
 $v \uparrow C$ and $C \uparrow C_1$ and $C \uparrow C_2$.

Example: $\Gamma = x : A \wedge B$ $x \uparrow A$ and $x \uparrow B$
 $C = A \wedge B$ $A \wedge B \uparrow A$ and $A \wedge B \uparrow B$

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We only care about **values**: In $e_1 y$, if e_1 **not** a value,

- can't let-bind y to \bar{y} without doing $e_1 \uparrow$ first...
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We only care about **values**: In $e_1 y$, if e_1 **not** a value,

- can't let-bind y to \bar{y} without doing $e_1 \uparrow$ first...
 - so can't $e_1 \uparrow$ twice inside each branch of $\forall\mathbb{L}$ on \bar{y}
- Most synthesizing **values** have principal synthesis:

x has $\Gamma(x)$
 \bar{x} has $\Delta(\bar{x})$

The Slackers Seize Control

- But type annotations don't have principal synthesis
 $(v : (\vdash A_1 \rightarrow B, \vdash A_2 \rightarrow B))$
 $(v : (a:\mathcal{Z} \vdash \mathbf{list}(a) \rightarrow \mathbf{list}(a)))$ (“corner case”)
- Solution: Bind $(v : As)$ to a “slack variable”
 $\mathbf{let} \sim \bar{x} = (v : As) \mathbf{in} \dots$

$$\frac{\Gamma; \Delta_1 \vdash v \uparrow A \quad \Gamma; \Delta_2, \bar{x}:A \vdash e \downarrow C}{\Gamma; \Delta_1, \Delta_2, \sim \bar{x} = v \vdash e \downarrow C} \sim\text{var} \quad \frac{\Gamma; \Delta, \sim \bar{x} = v \vdash e \downarrow C}{\Gamma; \Delta \vdash \mathbf{let} \sim \bar{x} = v \mathbf{in} e \downarrow C} \text{let}\sim$$

- Let-normal system is a restricted strategy for $\text{direct}\mathbb{L} \dots$
- Slack variables **remove** the restriction for $(v : As)$ terms

Slacking in Moderation

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- Restricting direct \mathbb{L} nondeterminism was the whole point!
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Slacking in Moderation

- Slack variables **remove** the restriction for $(v : As)$ terms
- Restricting direct \mathbb{L} nondeterminism was the whole point!
So why bother?
 - Terms of the form $(v : As)$ are **rare**
 - Most annotations are on **funcs**, which become `fix u. $\lambda x. e$`
 - A **fix** is not a value

Great Collapsing “Theorem” Disaster of 20042006

The translation of (e_1, e_2) depends on e_1

(x, y) \hookrightarrow **let $\bar{x} = x$ in let $\bar{y} = y$ in (\bar{x}, \bar{y})**
 y in eval. pos.

(case x of ms, y) \hookrightarrow **let $\bar{x} = x$ in (case \bar{x} of $ms, \text{let } \bar{y} = y \text{ in } \bar{y}$)**

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x is a value, so next term is in eval. pos.

case x of ms is **not** a value, so next term **not** in eval. pos.

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$(x(), \mathbf{y}) \quad \hookrightarrow$

$(\text{case } x \text{ of } ms, \mathbf{y}) \quad \hookrightarrow \quad \text{let } \bar{x} = x \text{ in } (\text{case } \bar{x} \text{ of } ms, \mathbf{\text{let } \bar{y} = y \text{ in } \bar{y}})$
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y in eval. pos. y bound outside tuple

$(\bar{x}(), y) \hookrightarrow \text{let } \bar{x} = x \text{ in let } \bar{a} = \bar{x}() \text{ in let } \bar{y} = y \text{ in } (\bar{a}, \bar{y})$

y in eval. pos.! y bound outside tuple

$(\text{case } x \text{ of } ms, y) \hookrightarrow \text{let } \bar{x} = x \text{ in } (\text{case } \bar{x} \text{ of } ms, \text{let } \bar{y} = y \text{ in } \bar{y})$

y **not** in eval. pos. y bound **inside** tuple

x is a **pre**-value, so next term is in eval. pos.

$x()$ is a **pre**-value, so next term **will be** in eval. pos.

case x **of** ms is **not** a value, so next term **not** in eval. pos.

Same-Dimension Units

```
(* [ indexconstant M : dim
    indexconstant FT : dim
    primitive val M : real(M)
    primitive val FT : real(FT) ]*)
val metersPerFoot : real(M / FT)
val fromFeet : real(FT) → real(M)
val toFeet : real(M) → real(FT) ]*)
val metersPerFoot = (0.3048 * M) / FT
fun fromFeet ft = ft * metersPerFoot
fun toFeet m = m / metersPerFoot

(* [ val readDistance : real → string → real(M) ]*)
fun readDistance number units = case units of
  "m" ⇒ number * M
  | "ft" ⇒ fromFeet (number * FT)
  | _ ⇒ raise BadInput ("unknown_ distance_ unit:_" ^ units)
```

Stardust: Initial Phases

1. Check index sorts (e.g. $\text{int}(3, 4)$ \times)

2. Inject unindexed types

$$\text{int} \implies \Sigma a:\mathcal{Z}. \text{int}(a)$$

$$\delta \implies \Sigma a:\gamma. \delta(a)$$

$$\text{real} \implies \text{real}(1) \quad \text{default index}$$

3. Flatten products

4. Eliminate subset sorts

$$\Pi a:\{a:\gamma \mid P\}. A \implies \Pi a:\gamma. P \supset A$$

$$\Sigma a:\{a:\gamma \mid P\}. A \implies \Sigma a:\gamma. P \wp A$$

$$\text{e.g. } \Sigma a:\mathcal{N}. \text{int}(a) \implies \Sigma a:\mathcal{Z}. (a \geq 0) \wp \text{int}(a)$$

5. Translate to let-normal form

Parametric Polymorphism: Declarative

- As property type

$$\frac{\Gamma \vdash e \uparrow \Pi a:\gamma. A \quad \bar{\Gamma} \vdash i:\gamma}{\Gamma \vdash e \uparrow [i/a] A} \text{PIE}$$
$$\frac{\Gamma \vdash e \uparrow \forall \alpha. B \quad \Gamma \vdash A \text{ [mono]type}}{\Gamma \vdash e \uparrow [A/\alpha] B}$$

Greed and Failure

- Generate existential variable
- The greedy method [Cardelli '93]
- $f : \forall \alpha. \alpha \rightarrow \alpha \rightarrow \alpha$
 $x : A, y : B \vdash f x y \uparrow \dots$

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 - $A \not\leq B$ and $B \leq A$: $y \downarrow A$ ✓

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- The greedy method [Cardelli '93]
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 $x : A, y : B \vdash f x y \uparrow \dots$
 - $A \leq B$ and $B \not\leq A$: $y \downarrow A$ **X**
 - $A \not\leq B$ and $B \leq A$: $y \downarrow A$ **✓**
 - $A \not\leq B$ and $B \not\leq A$: $y \downarrow A$ **X**

Unionism

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$A \vee B$

Instantiating $\alpha = A \vee B$:

$x : A, y : B \vdash f x y \uparrow \dots$ ✓

Typechecker, Phone Home

- Let's not break the subformula property

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- Instead, let the user write the union:
(*[f : $\forall\alpha. \forall\beta. \alpha \rightarrow \beta \rightarrow \alpha \vee \beta$]*)

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- Instead, let the user write the union:

$(*[\quad f : \forall\alpha. \forall\beta. \alpha \rightarrow \beta \rightarrow \alpha \vee \beta \quad]*)$

$x : A, y : B \vdash f \ x \ y \ \uparrow \ \dots$

$\alpha = A$

$\beta = B$

Typechecker, Phone Home

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- Instead, let the user write the union:

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$x : A, y : B \vdash f \ x \ y \ \uparrow \quad A \vee B \quad \checkmark$

$\alpha = A$

$\beta = B$