# ELEC 377 – Operating System

Week 2 – Class 2

## Last Class

- Schedulers
- Process Creation
- Process Termination
- Interprocess Communication
- Message Passing

## Today

- Finish Messaging
- Indirect vs Direct Messaging
- Threads
- Synchronization

## **Direct Communication**

- Processes explicitly identify each other
- \$ send(P,message)
- ◊ receive(Q, &message)
- addressing may be asymmetric
- send(P,message)
- ◊ receive(&id,&message)
- Advantages?
- Disadvantages?

## **Direct Communication**

- Advantages?
  - Explicit
  - Simple
- Disadvantages?
- Limited modularity of the resulting process definitions
- Changing an ID of a process -> may need to examine all other process definitions.

## Indirect Communication

- Mailboxes
- each mailbox has a unique id
- ◊ processes share the mailbox
- What if more than one process wants to receive a message from a mailbox
- Only allow one process to read mailbox
- ♦ First come first serve
- Multiple receivers
- Advantages?
- Disadvantages?

## Indirect Communication

- Advantages?
  - More flexible
  - No question who received the message from a mailbox
- Disadvantages?
  - Operating system must provide mailbox mechanism
  - Mailbox ownership may be passed -> could result in multiple receivers.

## Synchronization

- Coordination between sending and receiving process
- ◊ blocking vs non-blocking
- ◊ applies to both sender and receiver
- ◊ blocking is synchronous
- ◊ non-blocking is asynchronous
- if both send and receive are blocking -> rendezvous
- ◊ Ada

## Buffering

- Zero Capacity -> rendezvous
  - Queue of length 0
  - Sender blocks until message is received
- Bounded -> sender may need to wait or abandon the message
  - If queue is full, sender blocks.
- Unbounded -> sender never needs to wait.
- ◊ resource intensive
- ◊ non-guaranteed delivery (IP/UDP)

## Threads

- Lightweight Processes
  - Thread id, stack, registers, program counter
  - Memory Management Costs in context switches
- Traditional Process is a single thread



| Data      |           | Files |           |
|-----------|-----------|-------|-----------|
| Stack     | Stack     |       | Stack     |
| Registers | Registers |       | Registers |
| Ļ         |           | ţ     | Ļ         |
|           |           |       | Code      |

## Why use Threads?

- Responsiveness
- Resource Sharing
- Economy
- Utilization of Multiprocessor/Multicore Architectures

## User Threads

- Earliest Threads
- Threads implemented by a library (asm routines)
  - Operating System is unaware of the threads
- Advantages
  - Fast, no system call, simple scheduling
- Disadvantages
  - One thread blocks (I/O, IPC), all threads block
  - No Multiprocessor support
- Examples:
  - Early pthreads, Turing

## Kernel Threads

- Threads provided by the operating system
  - scheduled by the operating system
  - only difference is in context switch, and killing a given process kills all threads
- Advantages
  - OS only blocks thread doing a system call
  - MP support
- Disadvantage
  - not quite as fast as user level threads
  - Resource Intensive(each thread has a kernel entry)
- Provided by most modern operating systems

## **Thread Models**

- Programmer sees threads, calls library to create thread.
  - Library may create a kernel thread (if supported)
  - Library may manage as a user level thread
- Mixture of user and kernel level threads possible

## Many to One

- Only model on OS that do not support threads
- All threads mapped to a single kernel thread (process)



## One to One

- OS must support threads
- Each program level thread gets a kernel thread



## Many to Many

- Limit number of kernel threads
- More program threads than kernel threads
  - Thread library maps program threads to kernel



## **Threading Issues**

- Processes Level System Calls (fork, exec)
- Thread Termination
  - Can a thread be terminated by another
  - Or does it have to terminate itself
- Signals (user level interrupts)
  - Which thread gets the signal
  - Resource Intensive(each thread has a kernel entry)
- Provided by most modern operating systems
- Thread Pools
- Thread Specific Data

## **Recent Advances**

- Even threads as are too heavy weight for fine grained use multi core architectures.
  - Good for function/object level concurrency
  - But what about statement/block level concurrency?
  - Cost of thread construction/destruction
- Thread Pool Pattern built into language
  - Mechanism to farm out blocks of code to worker threads
  - Grand Central dispatch (apple)
  - Java Implementation (Java 7)

## **Thread Implementations**

- Linux clone()
- Java threads

## Linux clone() & fork()

- Linux is thread aware
- Every process has a PCB
  - linux PCB is not a single level structure
  - pointers to other structures



## Linux clone() & fork()



## Linux clone() & fork()

- clone() starts another thread
  - New PCB only
- parameters determine what is copied
  - control over amount of shared information
  - share memory and not files
  - share files and not memory
- Mixture of kernel and user threads supported



## Java Threads

- Java threads
  - Like Ada, Turing: threads are part of the language
  - Some threads already defined (garbage collector)
- Thread class
  - start() method
- Two ways to define code that thread will run
  - Extend Thread class and override run()
  - Implement Runnable interface and provide run()

## **Extend Thread**

```
class Foo extends Thread {
  public void run() {
     for (i = 0; i < 10; i++){
        System.out.println("bar");
     }
 Foo bar = new Foo();
 Foo bat = new Foo();
 bar.start();
 bat.start();
```

## Implement Runnable

```
class Foo implements Runnable {
  public void run() {
     for (i = 0; i < 10; i++)
        System.out.println("bar");
     }
 Foo bar = new Foo();
 Foo bat = new Foo();
  Thread a = new Thread(bar);
  Thread b = new Thread(bat);
 a.start();
 b.start();
```

## JVM Virtual Machine

- Java is compiled to byte codes (0...255)
- Virtual machine is a hardware emulator
- Just-in-time compilers
- Threads implemented inside of the Virtual machine
  - Green Threads (user level threads)
  - Native Threads
  - Almost all of the thread implementations are available in different java implementations
  - Since I/O is provided by Java VM, don't have to worry about one thread blocking the entire processes.

## **Process Synchronization**

- Most Important Part of the Course and Text
- Concurrent access to shared resources
  - data inconsistency
  - need some mechanism to control access to shared resources

## Synchronization Example

### Account Deposit

...

account = account + deposit

Account Withdrawl

...

... account = account - withdrawl

•••

## Synchronization Example

Account Deposit

```
mov account, reg1
// mov = get the memory value at the address and put it into
//register
add deposit, reg1
move reg1, account
...
```

Account Withdrawl

...

...

```
mov account, reg1
sub withdrawl, reg1
move reg1, account
```

## Synchronization Example

Account Deposit

...

mov account, reg1 add deposit, reg1 move reg1, account

Account Withdrawl

. . .

...

...

mov account, reg1 sub withdrawl, reg1 move reg1, account +\$ 100 \$ 5,243 1 \$ 5,343 INT 3 \$ 5,343

-\$ 100 \$ 5,243 2 \$ 5,143 INT 4 \$ 5,143

## **Process Synchronization**

- Race Condition
  - Several process handle shared resources
  - Final value depends on who finishes first
- To prevent race conditions, concurrent processes must be synchronized
  - train signaling problem (James Burke)

## **Critical Sections**

### Account Deposit

...

...

...

```
mov account, reg1
add deposit, reg1 critical section
move reg1, account
```

### Account Withdrawl

```
. . .
mov account, reg1
sub withdrawl, reg1 critical section
move reg1, account
```